Standard Reporting Instructions and Specifications (XSD) for XML Reports

This document is a composite of UNODC documentation on goAML (version 4.0.2 and updates in version 5.2.0) and modifications made by the Financial Intelligence Unit of Latvia (FIU-LV)

Version number = *[schema changes by the UNODC].[element level changes by the FIU].[minor changes by the FIU]*

Remark: Document contains technical information with the purpose to explain goAML XML schema structure. Terminology used is for better perception. E-mail for related questions – info.goAML@fid.gov.lv

Version number UNODC = [schema changes by the UNODC]

Version number FIU-LV= 1. [FIU-LV element level changes by the FIU].[minor changes by the FIU LV (for example, changes in lookup values)]

UNODC version	FIU-LV version	Date	Author	Explanation
4.0.2	1.0.0	30.10.2020	FID	1. Adjustments have been made to the standard goAML XSD scheme. 2. The lookup values are not included in this Scheme document, which will be added after discussion with reporting entities.
4.0.2.	1.1.0.	11.01.2021	FID	- "**signatory**" set as mandatory for "*t_account_my_client*" - Enabled **"account_name"** in "*t_account_my_client*" and "*t_account.*" - Enabled **"alias"** in "*t_person_my_client*" and "*t_person*" (only for FIU-LV use). - Enabled **"incorporation_state" in** *t_entity_my_client*" end "*t_entity*" (only for FIU-LV use). - Enabled **"zip"** in "*t_address*". - Enabled **"tph_country_prefix"** in "*t_phone*" - Enabled **"tph_extension"** in "*t_phone*"
4.0.2.	1.2.0.	05.03.2021	FID	- "tax_reg_number" is not in use for entity (*"t_entity_my_client*" or "*t_entity*"). - **"gender"**, **"title"**, **"nationality3"**, **"occupation"**, **"employer_name"**, **"tax_reg_number"** are not use for person (*"t_person_my_client*" or "*t_person*"). - **"late_deposit"** and **"date_posting"** are not in use under "transaction". - **"significance"** is mandatory in "report_party_type" if activity type report is submitted. - **"role"** is mandatory if "director_id" is submitted *"t_entity_my_client*" or "*t_entity*". - **"role"** is mandatory if "*signatory*" is submitted in "*t_account_my_client*" or "*t_account*".
4.0.2	1.3.0	15.07.2021	FID	- "**branch**" not in use in *t_account* and *t_account_my_client* - Description added for the type

					t_person_registration_in_report
					- Some descriptions revised. Enum list names added.
4.0.2	1.3.1	23.07.2021		FID	- Regex patterns added for elements *tph_country_prefix* and *tph_number* in *t_phone.* - Regex pattern modified for type *email_address.*
4.0.2	1.4.0	13.09.2021		FID	- "**funds_code**" set mandatory in *t_party*. - "**tph_extension**" not in use in *t_phone*. - The minimum value for "**significance**" set to 1 in *report_party_type* and *t_party.* - Description for the type *t_person_registration_in_report* completed. - Decription for the type *report_party_type* added.

5.2.0	2.0.0	31.01.2023		FID	- Added a new column to the description table, which is indicated if the field started to be used in FID version 2.0.0. (here and hereafter – v.2.0.0.)
					- The document has been updated with new scheme elements and types of elements:
					  - New mandatory fields in v.2.0.0. - **"transaction_type_code", "transaction_status", "role"** (in "activity"), **"institution_country"** in the element t_account_my_client.
					  - The meaning of the mandatory field **"transmode_code"** has been changed.
					  - The field **"significance"** set as optional in the section Activity under report_party_type block.
					  - Please note that in scheme 2.0.0 there are a number of fields that are not prohibited, but marked as "Not in use".
					  - Increased the number of characters to 8000 for all **"comments_type"** fields.
					  - - The description also reflects the data types for which the status is "Not in use".

5.2.0	2.0.0	20.06.2023		FID	- Blocks or fields prohibited in the scheme:
					  - Block "additional_information".
					  - Field "conductor_is_suspected" and block "t_conductor".
					  - Block "geo_location".
					  - Field "residence_since".
					  - Fields related to employment and block "employment_history"
					- If the "Not used" fields in the XSD are not prohibited then most of these fields are hidden in the goAML input form.

5.2.0	2.0.0	24.07.2024		FID	- Changes in Classifier values

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1 Summary

This document provides detailed information on the structure of the XML schema for subjects in accordance with Section 3 of the AML/TF/PF Law and other persons in accordance with Section 3.1 of the AML/TF/PF Law (hereinafter referred to as Reporting Entities (RE)) who use the Financial Intelligence Service website https://goaml.fid.gov.lv in order to submit threshold declarations and reports of suspicious transactions and activities (hereinafter referred to as Reports).

When RE creates XML file, it is required to follow to the structure of the XML Schema (XSD).

The goAML system allows RE to submit several types of reports, which are often internationally understood in the same sense and coded in the same way, such as (Currently, there are 5 report types applicable for RE):

- Threshold declaration (CTR)

- Suspicious Transaction Report (STR)

- Suspicious Activity Report (SAR)

- Additional Information File (ATL) - To report transactions related to STR / SAR after reporting STR / SAR.

- Response to FIU request (DRF)

FIU-LV will adjust the types or Reports during the implementation and information will be included in document of Lookup values.

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The structure of all Reports is similar, but depending on the type of Report or information available, some elements in the XML file may or may not be mandatory for RE. Report may contain several transactions and/or several actions. If a report (e.g., STR) contains both transactions and activities (both may be included from schema v 2.0.0), then such report must contain at least one transaction - activity-only is not allowed. Separate activity reports without transactions should be submitted as Suspicious Activity Report - SAR.

RE must ensure that the Report corresponds not only with the requirements of XML schema, but also with the "Business Requirements' Conditions" set by the FIU-LV (the document "Business Requirements' Conditions"). Report should be not only syntax valid, but also content valid. Starting from v. 2.0.0, the rules of business requirements can be included in the XML schema itself as Assertions, which means that several business requirements will apply during the submission of report and it will not be possible to submit incomplete reports.

Depending on the number of Reports and the frequency of reporting, RE submit Reports by the online form available on the website https://goaml.fid.gov.lv or by uploading an XML file prepared in accordance with the requirements of the XML schema.

1.1 Submission of Reports via https://goaml.fid.gov.lv with an online form

For RE with few reports or the little number of transactions in one report, the website https://goaml.fid.gov.lv with its online form may be more appropriate for submitting Reports than developing software for generating XML reports (see user manual document "Reporting"). It should be noted that Reports submitted on the website with online form will be converted into an XML file by the goAML program.

This document focuses on preparing XML files according to the requirements of the goAML XML schema with the aim of to be uploaded on website as XML file.

1.2 Submission of Reports in XML form

RE can develop software solutions that automatically generate XML message files according to the goAML XML Schema (XSD) and can upload them at https://goaml.fid.gov.lv. This solution is recommended if RE has a large number of Reports. The development of an automatic XML file generation tool is a matter of choice, responsibility and competence for RE.

RE must ensure that the correct information is submitted to the FIU-LV using one of the above-mentioned methods.

Structure of the XML Reports

1. The "report" shall contain information describing the basic details of the Report. For example, information about the RE, type of Report, number of Report, etc.

2. Then Report must be completed with the details on the "transaction" elements, which provide details of the transaction (Report can contain a series of "transaction" elements) For example, transaction number, description, funds type, date, amount, and type of transaction.

A transaction can be a "Bi-Party transaction", where the transaction is with clearly known "from" and "to" parties, or "Multi-Party transaction" (which allows "one-party transaction" as well), A transaction that does not have "from "and "to" parties, but the "parties" involved in particular transaction, and each "party" is identified by its role.

An XML report is linked to one RE, but may contain multiple transactions. An uploaded Report can be of ONE report type only.

The involved Persons, Accounts and Entities (PAEs) of the transaction may be clients of RE (hereinafter referred to as "My Client"), but may not be the client of RE (hereinafter referred to as "Non-My Client").

For "bi-party" transactions, depending on whether PAE is a client or not, the details should be reported using "t_from_my_client", "t_from", "t_to_my_client", and "t_to" elements, as given below:

	To "My Client"	To "Non-my Client"
From "My Client"	"t_from_my_client" -> "t_to_my_client"	"t_from_my_client" -> "t_to"
From "Non-my Client"	"t_from" -> "t_to_my_client"	"t_from" -> "t_to"

3. For multi-party transactions, depending on whether the related parties are clients or not, details should be reported using relevant "my client" or "not my client" elements.

4. The parties in goAML reports are of three types, namely Person, Account and Entity.

- **Person**

   Although the element 't_person_my_client' is intended to provide information on natural persons who are clients of the RE and the element 't_person' is intended to provide information on natural persons who are not clients of RE, the structure of these two elements is identical. However, some fields in the "t_person_my_client" element are mandatory because RE should have more information about its clients. Otherwise, the natural person is "t_person".

- **Entity**

   This element provides information on the legal entities involved in the transaction. The elements 't_entity_my_client' and 't_entity' are used for clients of RE and non-clients of RE, respectively. The element contains sub element "director_id", which is a set of elements of "t_person" to provide details about the persons related to the legal entity (owners, officials, beneficial owners, etc.).

- **Account**
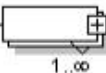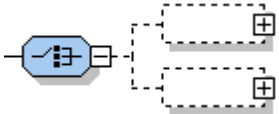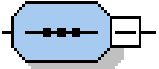
   If natural person or entity (with the account) is involved in the transaction or activity, the elements "t_account_my_client" and "t_account" (account of RE and account of non-RE, respectively) are filled in. If account is for natural person, the element "signatory" must be filled. If account is for legal entity, the element "t_entity" element should be filled (with a sub-element "director_id").

   An account owned by a natural person may not contain the element "t_entity", while an account owned by a legal entity should have the element "signatory" if element "t_account_my_client" is selected. In case account is owned by legal person, the element "signatory" is for showing signatory/ signatories.

5. If the number of transactions to be reported per reporting period is large, it would not be practical to manually enter details to the web application and submit CTR/EFT/IFT reports as web reports. Therefore, Reporting Entities may have to develop software applications to generate those report types and upload them via the goAML web application as XML reports. On the other hand, if the number of transactions to be reported are low per reporting period, it will be more practical to submit those reports manually as web reports. For STRs and AIF/IRFs, either web reports or XML reports could be optimal, depending on the number of transactions to be reported in a single report.

6. Maximum number of "transaction" elements that can be included in one CTR/EFT/IFT report is 1000. If the number of transactions for the reporting period exceeds 1000, reporting entities should prepare separate XML reports containing 1000 or less "transactions" in each report and upload the reports to the goAML online system one by one, or put those XML reports in to a single zip file, and upload that zip file to the goAML online system.

2 Conventions used in this document

	Required text element
	1 to N values
	Optional text element for all report types
	Optional nested element for all report types
	Required nested element
	Optional, but one of the two nodes should be provided
Integer	A 32 bit value
Date Time	A date and time value in the following format YYYY-MM-DDTHH:MM:SS (example: 2020-12-24T00:00:00)
	Sequence to sub elements (text or nested)
	Do not use for any reports
Mandatory (in field tables)	**Y** – Yes, **N** – No, **C** – Conditional Mandatory

For the purpose of this document, an element in a goAML XML report can be of two types:

1. **Text element** - contains only text (including numbers). It does not contain other elements. Example:

<rentity_id>LV9999</rentity_id>

2. **Nested element** - contains set of other elements. Example:

<phone>

<tph_contact_type>2</tph_contact_type>

<tph_communication_type>L</tph_communication_type>

<tph_number>12345678</tph_number>

</phone>

3   Description of XML Elements

3.1   "report" Element
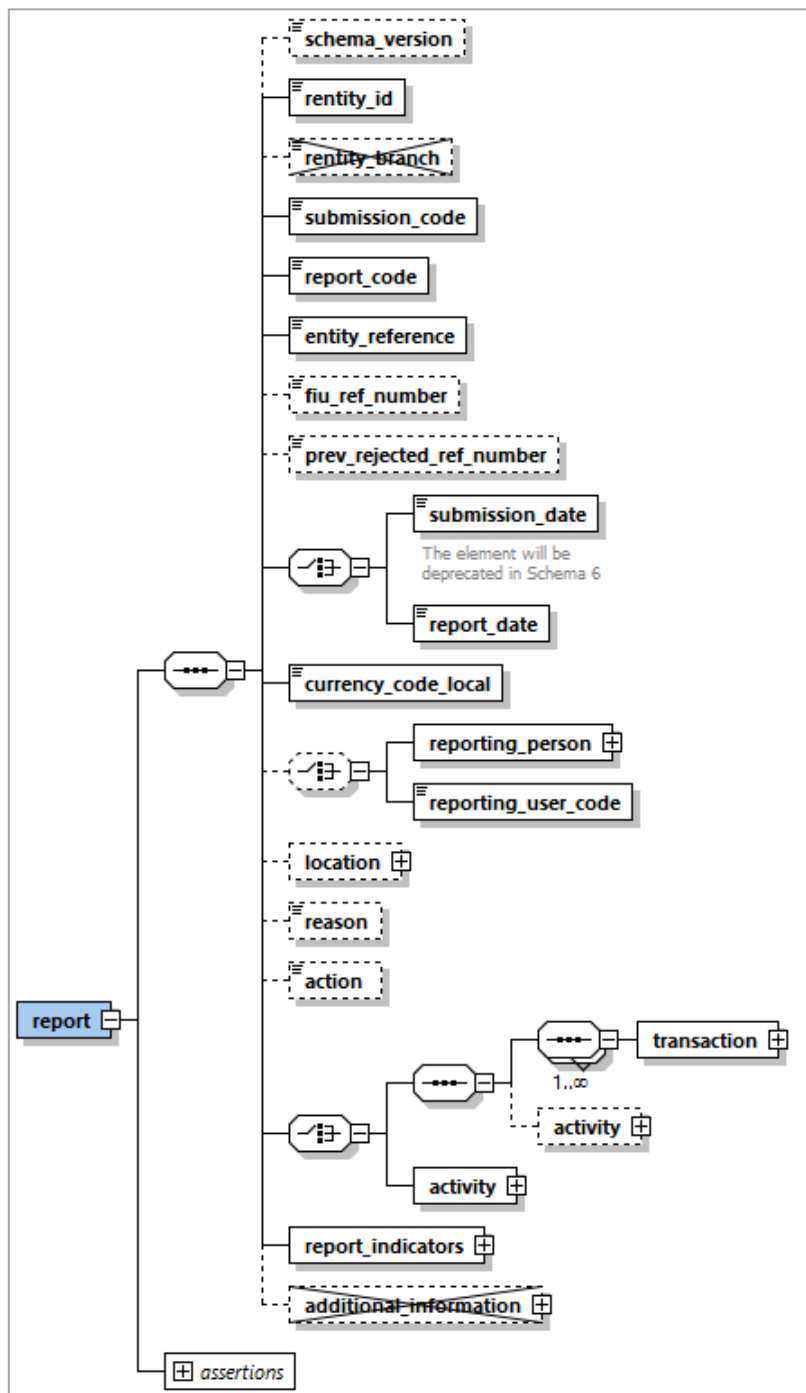
Figure 1: Overview Element "report"

"report" element is the root element of the XML report and it is the highest-level nested element. Each XML report has one "report" element which includes all the sub elements (text and nested) about the report itself, RE and transactions and/or activities. Basic information about RE, reporting date and type of Report are given in the "report" element. Information included in one Report should be interrelated.

NAME	DESCRIPTION	LENGTH/TYPE	MANDATO RY	NEW FIELD (version)	EXAMPLE
schema_version	The XML Schema (XSD) version used	Text 25	N	2.0.0	
rentity_id	**RE ID code**	**Integer >= 1**	**Y**		**19**
~~rentity_branch~~	Not in use	~~Text 255~~	~~N~~		
submission_code	Type of submission	Enum "Submission Type"	**Y**		E
report_code	Type of report	Enum "Report Type"	**Y**		STR
entity_reference	Reference assigned by RE to the report (for further communications)	Text 255	**Y**		STR Ref. 392
fiu_ref_number	Reference to FIU document (FIU document number). It is used in type DRF and TAI reports.	Text 255	N		
prev_rejected_ref_number	The number of the previously rejected report	Text 255	N	2.0.0	
submission_date	Submission date and time (if report_date is not used)	Datetime			2020-03-25T00:00:00 *Will not be used in the next version*
report_date	Report submisstion date (if submission_date is not used)	DateTime	**Y (one of both)**	2.0.0	When submitting a report through the web application, the system will generate a report with the content of this field. Please use this field for the

					generation of XMLs.
currency_code_local	Local Currency code	Enumeration "Currency"	**Y**		only EUR
reporting_person	Full details of the report's reporting person (representative of RE)	Type "t_person_ registration_i n_ report"	N (one of both)		See 4.32 "t_person_ registration_i n_ report"
reporting_user_ code	Reporting person username	Text min 4 max 50		2.0.0	
location	Describes location of the reported report	Type "t_address"	N		See 4.8 "t_address"
reason	Why the Report was reported (especially for STRs)	Text 8000 Type "comments_ type"	C		Mandatory for STR/ SAR/STRA
action	Description of actions taken with the financial means mentioned in the report, e.g., in case of refraining	Text 8000 Type "comments_t ype"	N		
Report should contain either transaction (or list of transactions) and one related activity or one activity alone – a list of involved subjects (PEA) without the need of a transaction to link them					
transaction + activity	Transaction information and related activity (if information about the activity is)	Element "transaction" (+ "activityType" under activity)	**Y (one of both based on report_ code)**	2.0.0	See 3.3. "transaction"
activity	Activity information	Element "activity"			See 3.2 "activity"
report_indicators	List of indicators for the current report	Type "indicator"	**Y**		See 3.1.1. sub-type "indicator"

additional_ information	A set of elements to submitting information, if it is not possible to include it in other fields Not in use	Element "additional_ info"	N	2.0.0	See 3.1.2. sub-type "additional_in formation"

Table 1: Details Element "report"

3.1.1 Sub-element "indicator"
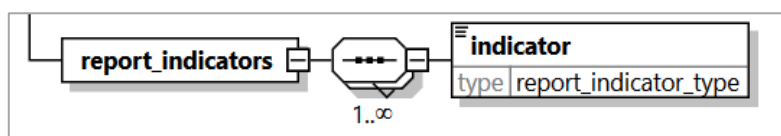
Figure 2: Overview Sub-element "indicator"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATO RY	NEW FIELD (version)	EXAMPLE
indicator	For the threshold declaration – declaration indicator; For the Report - typology feature/-s, group of possible criminal offense; indicator that report / threshold declaration should be shared with the State Revenue Service	25	Y		

Table 2: Details Sub-element "indicator"

3.1.2 Sub-element "additional_information"

Included in elements "report", "t_person", "t_person_my_client", "t_entity", "t_entity_my_client", "t_account", "t_account_my_client". *Not in use.*
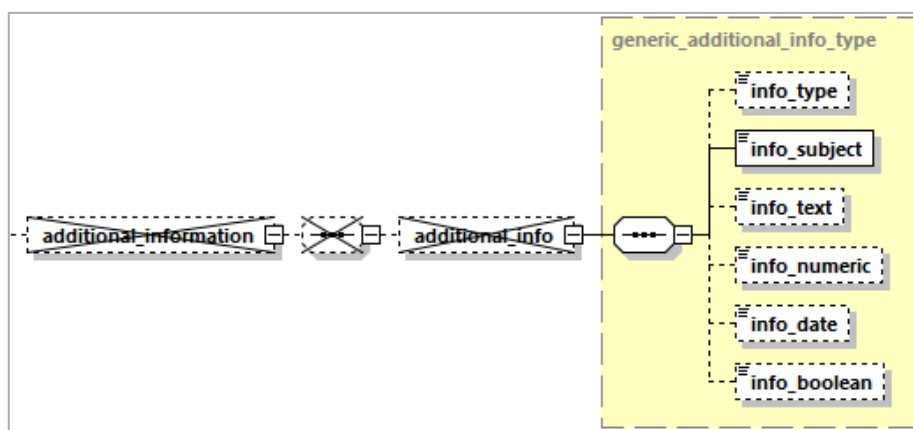
Figure3: Overview Sub-element "additional_information"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
info_type	Type of additional information. *Not in use*	Enumeration Type "additional_info_ type"	N	2.0.0	
info_subject	Subject of additional information *Not in use*	Text 255	Y	2.0.0	
info_text	Addititional information – in text format *Not in use*	Type "comments_ type"	N	2.0.0	
info_numeric	Addititional information – in numeric format *Not in use*	Decimal	N	2.0.0	
info_date	Addititional information – in date format *Not in use*	Datetime	N	2.0.0	

Table 3: Details Sub-element "additional_information"

3.2 "activity" Element

The data set of the element "activity" contains all the information related to the suspicious activity report. To be filled in also in cases when the details of the transaction are not known.

The activity should contain at least one "report_party" element – information person, account or entity. Starting with v. 2.0.0. the role of "report_party" is mandatory (lookup value from "report_party_role_type"), the nodes with extension "_my_client" are added as well.

The element "activity" contains the same set of fields regardless if it is located in conjunction with "transaction" or as separate, independent element under "report".
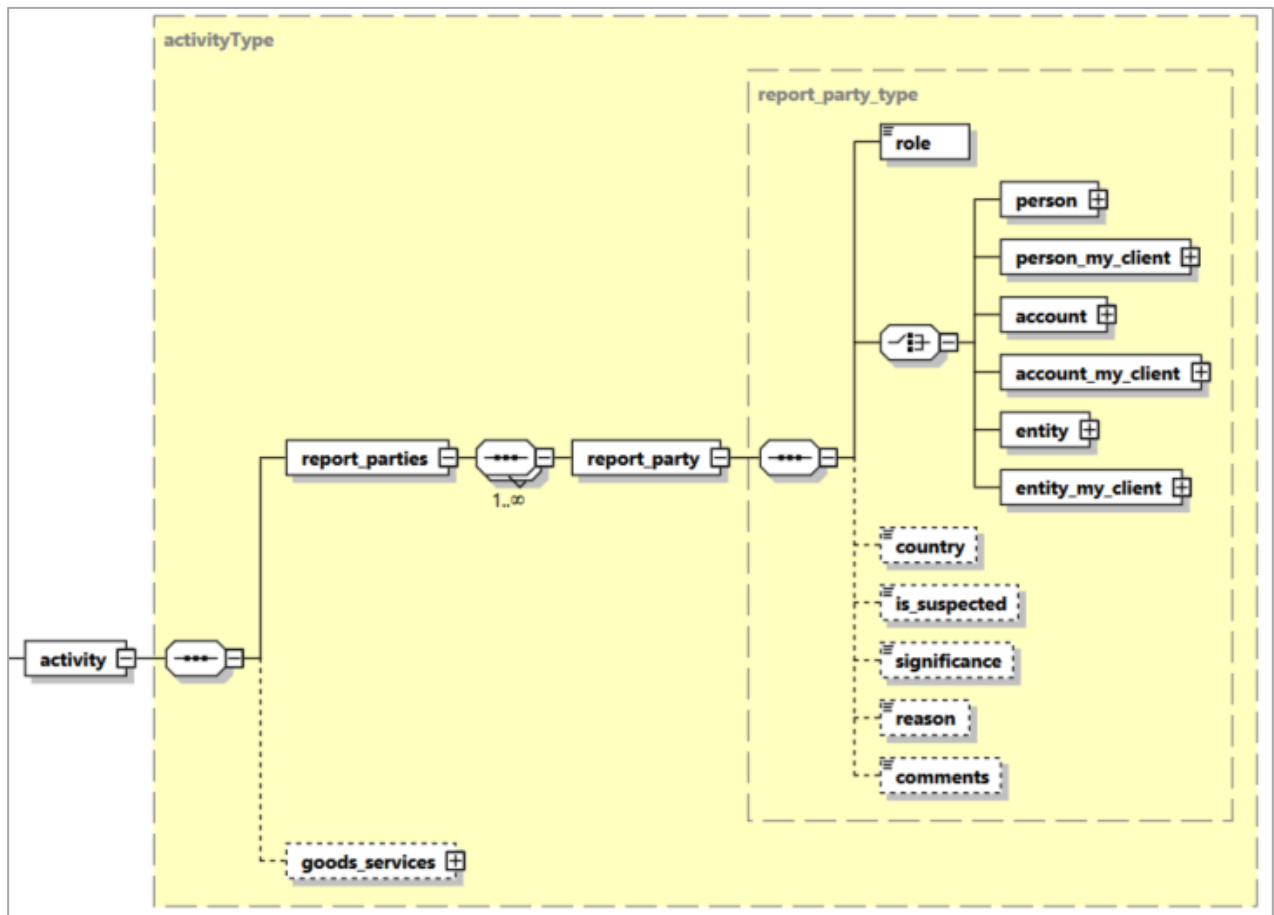
Figure 4: Overview Element "Activity"

NAME	DESCRIPTION	LENGTH/ TYPE	MANDATO RY	NEW FIELD (version)	EXAMPLE
report_parties	 A set of elements for providing information on persons in Activity. The "report_parties" element may contain multiple "report_party_type" elements.		Y		

report_party	Each "report_party_type" element can contain information about one natural, legal person or account of PEA.	Type "report_ party _type"	**Y**		See 4.12 "report_party_ type" *From v. 2.0.0 "my client" elements are added*
goods_services	Goods or services related to the reported Activity	Type "t_trans _item"	N		See 3.4. "goods_services"

Table 4: Details Element "Activity"

3.3 "transaction" Element

"transaction" nested element is the element that encapsulates all the details pertaining to a single transaction in the report.
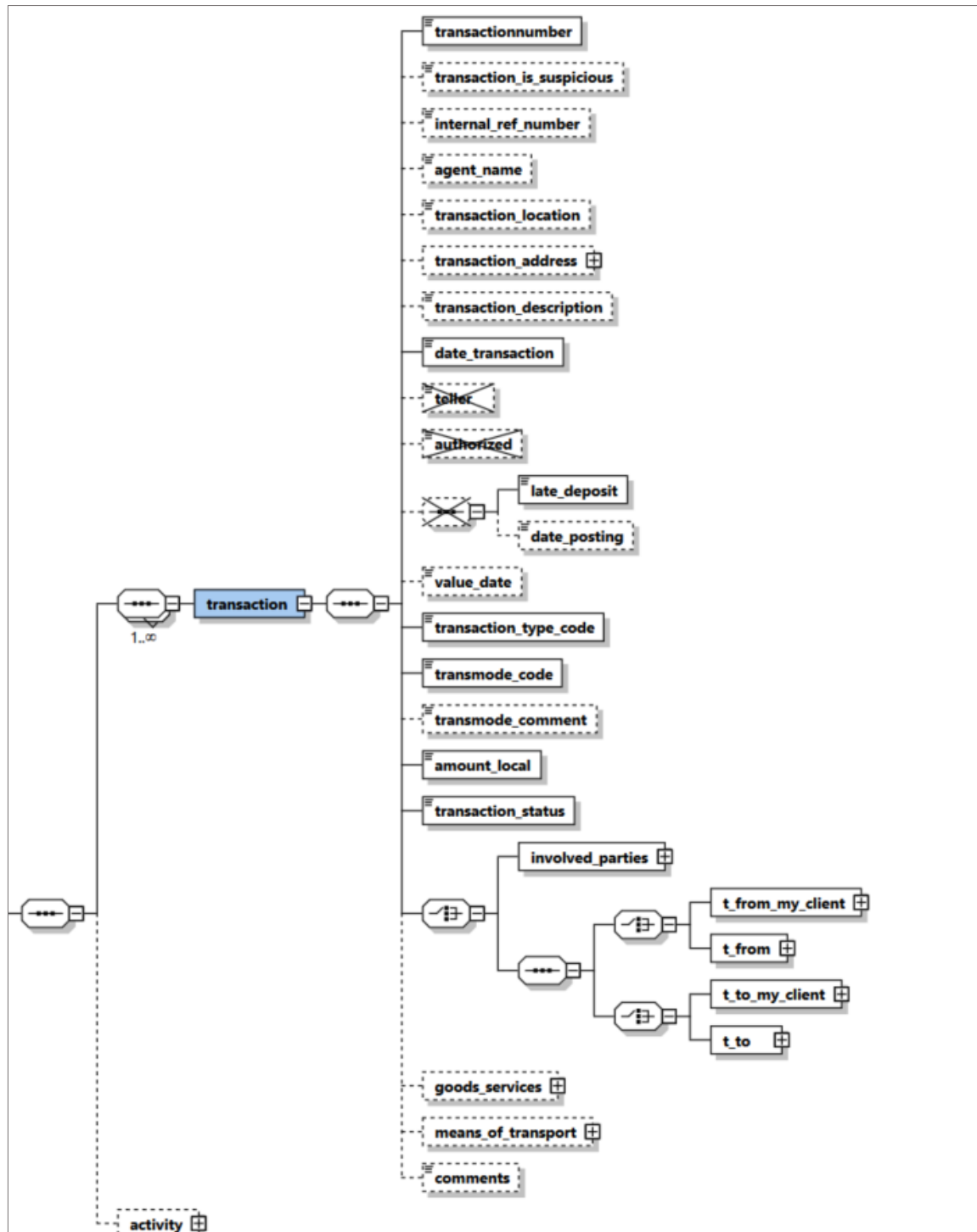
Figure 5: Overview Element "transaction"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
transactionnumber	Unique reference number to identify a transaction	50	Y		
transaction_is_	Is the transaction suspicious	Boolen	N	2.0.0	

suspicious					
internal_ref_number	Reference used by RE for the transaction	50	N		
agent_name	Service provider (agent) in money transfer institutions	Text 255	N	2.0.0	Used when the report is submitted by RE who has had an agent.
transaction_location	In case of an online transaction from account "My client", it is mandatory to provide the IP address of the initiator. Transaction location should be provided in suspicious transaction or activity reports, if it is relevant to the nature of the report.	255	N		
transaction_address	Address of transaction place	Type "t_address"	C	2.0.0	Mandatory if cash transaction is reported (e.g. deposit, purchase of foreign currency in cash, etc.) See 4.8. Type "t_address"
transaction_ description	Purpose of transaction as stated in the transfer form. Mandatory in CTR reports indicated with "1412 — Customer sends or receives a cross-border payment the amount of which is equivalent to EUR 500 000 or more".	Text 8000 Type "comments_ type"	C		Mandatory for threshold declarations on cross-border transactions above 500 000 EUR
date_transaction	Date of the transaction	DateTime	**Y**		2020-03-25T00:00:00
~~teller~~	Not in use	~~20~~	~~N~~		
~~authorized~~	Not in use	~~20~~	~~N~~		
	~~Introductory element for the indication on use of transaction funds in the term deposit~~ Not in use		~~N~~		
~~late_deposit~~	Not in use		~~N~~		
~~date_posting~~	Not in use		~~N~~		
value_date	Value date	DateTime	**N**		2020-03-

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
					27T00:00:00
transaction_type_ code	Transaction type	Enumeration "Transaction _type code**"**	**Y**	2.0.0	Transaction type code (corresponds to "transmode _code" in v.1.0.0)
transmode_code	Sector of transaction	Enumeration "Transaction Mode"	**Y**		In which sector the transaction took place (e.g., financial)
transmode_comment	Description about transaction sector *Not in use*	50	N		
amount_local	The value of the transaction in EUR (maximum two decimal places). If the transaction was carried out in another currency, the transaction amount should be converted to euros, using the exchange rate provided in the transaction parties elements.	Decimal	**Y**		
transaction_status	Transaction status	Enumeration "Transaction status"	**Y**	2.0.0	E.g., executed

Transactions must be **either** bi-party transactions with clear 'From' and 'To' sides, **or** multi-party transactions with parties (Persons/Accounts/Entities – PAEs) relevant to the transactions.

Bi-Party Transactions

	One of the elements "t_from_my_client" or "t_from" should be provided, depending on the relationship with the PAE in the "from" side. Both CANNOT be present together in a transaction, but one of them should be present.				
NAME	**DESCRIPTION**	**LENGTH/TYPE**	**MANDATORY**	**NEW FIELD (version)**	**EXAMPLE**
t_from_my_client	Specifies where the money came from. If the source is client of RE, then this element should be provided				See 3.3.1. "t_from_my_client"
t_from	Specifies where the money came from. If the source is non-client of RE, then this element should be provided		**Y** (one of them)		See 3.3.2. "t_from"

	One of the elements "t_to_my_client" or "t_to" should be provided, depending on the relationship with the PAE in the "to" side. Both CANNOT be present together in a transaction, but one of them should be present.				
t_to_my_client	Specifies where the money went. If the destination is client of RE, then this node should be provided				See 3.3.3. "t_to_my _client"
t_to	Specifies where the money went. If the destination is non-client of RE, then this node should be provided		**Y** (one of them)		See 3.3.4. "t_to"

Multi-Party Transactions

	When there is no clear "From" and "To" sides of the transaction, it should be reported as a multi-party transaction with the details of the parties relevant to the transaction. The Multi-Party Transaction contains information about the amount of money involved in the transaction and the date of the transaction. Each party to the transaction must indicate by its role according to the classifier. There can also be one person in a "multi-party" transaction.				
 involved_parties pa 1..∞	Describes the involved party details (Persons/Accounts/Entities – PAEs)	Type "t_party"	**Y** **(if multi-party selected)**		See 4.7 "t_party"
goods_services	Goods or services related to the reported Transaction	Type "t_trans_item"	N		See 3.4. "goods_ service"
means_of transport	For cash declarations at the state border – only for FIU use	Elements and sub-elements	N	2.0.0	See 3.5. "routing"
comments	Additional comments	Type "comments_ type" Text 8000	N		In case of report of credit turnover or debit turnover, provide the end date of the transaction.

Table 5. Details Element "transaction"

3.3.1 "t_from_my_client" Element

If party (PAE) in the source side of the transaction is a client of the RE, "t_from_my_client" element should be used in a "transaction" element. The details of the PAE will be included in either "from_account", "from_person" or "from_entity" element located in the "t_from_my_client" element.

Figure 6. Overview Element "t_from_my_client"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
from_funds_code	Type of funds used in the transaction	Enumeration "Funds Type"	Y		
from_funds_ comment	Mandatory description, if "from_funds_code" is "Other".	Text 255	C		
from_transaction_ additional_ info	Additional information about the transaction, including information about the IP address.	Type "transaction_additional_info_type"	C	2.0.0	Mandatory when reporting about virtual currency (crypto). See 4.13 "transaction_additional_info_type"
from_foreign_ currency	If the transaction is conducted in foreign currency, then specify the	Type "t_foreign_currency"	C		Becomes mandatory if the transaction performed in a foreign currency. See 4.10

	foreign currency details.				"t_foreign_ currency"
conductor_is_ suspected	Is conductor suspected *Not in use*	Boolen	N	2.0.0	
t_conductor	Indicates information about the executor of the transaction *Not in use*	Type "t_person_my_ client"	~~N~~		
from_is_suspected	Does the "From" section contain a suspect party (account/person/e ntity)	Boolen	N	2.0.0	
from_account	Element that holds account information	Type "t_account_my _client"			See 4.5 "t_account_ my_client"
from_person	Element that holds person information.	Type "t_person_my_c lient"	**Y** (one of three)		See 4.1 "t_person_ my_client"
from_entity	Element that holds entity information.	Type "t_entity_my_ client"			See 4.3 "t_entity_my_client "
from_country	Country where transaction was initiated.	Enum "Country"	**Y**		

Table 6: Details Element "t_from_my_client"

3.3.2 "t_from" Element

When generating XML reports, if the party (person, account or entity) in the source side of the transaction is a NOT client of the Reporting Institution, "t_from" element should be used in a "transaction" element. The details of the party will be included in either "from_account", "from_person" or "from_entity" element located in the "t_from" element.
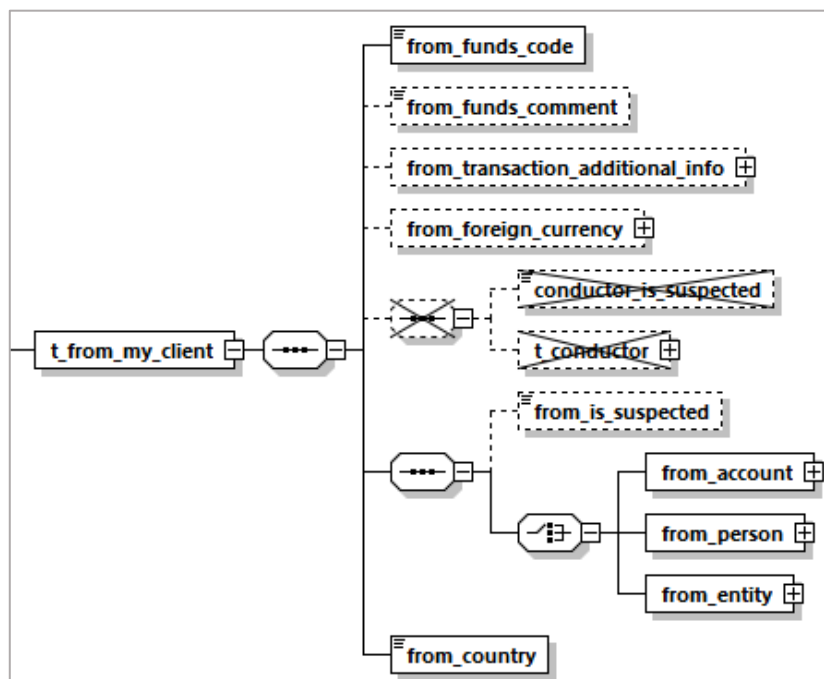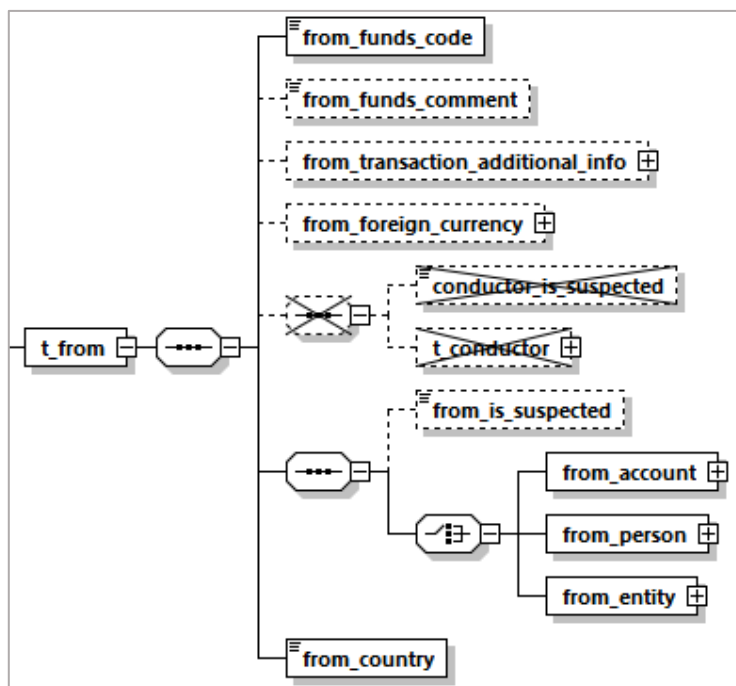
Figure 7: Overview Element "t_from"

NAME	DESCRIPTION	LENGTH/TYPE	MANDA TORY	NEW FIELD (version)	EXAMPLE
from_funds_code	Type of funds used in the transaction	Enum "Funds Type"	Y		
from_funds_ comment	Additional information regarding funds	Text 255	C		Mandatory description, if "from_funds_c odoe" is "Other".
from_transaction_ additional_info	Information about virtual currencies and mobile money transfers. Used to specify the IP address	Type "transaction_additio nal_info_type"	N	2.0.0	Mandatory when reporting about virtual currency (crypto) transactions. See 4.13 "transaction_a dditional_info_ type"
from_foreign_ currency	If the transaction is conducted in foreign currency, then specify the foreign currency details.	Type "t_foreign_ currency"	C		Mandatory if the transaction performed in a foreign currency See 4.10 "t_foreign_curr

					ency"
conductor_is_ suspected	Is conductor suspected *Not in use*	Boolen	N	2.0.0	
t_conductor	Indicates information about the executor of the transaction *Not in use*	Type "t_person"	~~N~~		
from_is_suspected	Does the "From" section contain a suspect party (account/person/e ntity)	Boolen	N	2.0.0	
from_account	Element that holds account information	Type "t_account"	**Y**		See 4.6. "t_account"
from_person	Element that holds person information	Type "t_person"	(one of three)		See 4.2. "t_person"
from_entity	Element that holds entity information.	Type "t_entity"			See 4.4. "t_entity"
from_country	Country where transaction was initiated.	Enum "Country"	**Y**		

Table 7: Overview Element "t_from"

3.3.3 "t_to_my_client" Element

When generating XML reports, if the party (PAE) in the destination side of the transaction is a client of the RE, "t_to_my_client" element should be used in a "transaction" element. The details of the client party will be included in either "to_account", "to_person" or "to_entity" element located in the "t_to_my_client" element.

Figure 8: Overview Element "t_to_my_client"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
to_funds_code	Type of funds used in the transaction.	Enum "Funds Type"	**Y**		
to_funds_ comment	Comment on funds	Text 255	C		Mandatory description, if "to_funds_code" is "Other".
to_transaction_ additional_info	Additional information about the transaction, including to specify information about IP address.	Type "transaction _additional_ info_type"	N	2.0.0	See 4.13 "transaction_ additional_info_ type"
to_foreign_currency	If the transaction is conducted in foreign currency, then specify the foreign currency details.	Type "t_foreign_ currency"	C		See 4.10. "t_foreign_ currency"
to_is_suspected	Does the "To" section contain a suspect party	Boolen	N	2.0.0	
to_account	Element that holds account information	Type "t_account_ my_client"	**Y** (one of three)		See 4.5 "t_account_ my_client"
to_person	Element that holds person information	Type "t_person_ my_client"			See 4.1 "t_person_my_ client"

to_entity	Element that holds entity information.	Type "t_entity_my_client"			See 4.3 "t_entity_my_client"
to_country	Receiving country of the transaction	Enum "Country"	Y		

Table 8: Details Element "t_to_my_client"

3.3.4 "t_to" Element

When generating XML reports, if the party (PAE) in the destination side of the transaction is NOT a client of RE, "t_to" element should be used in a "transaction" element. The details of the party will be included in either "to_account", "to_person" or "to_entity" element located in the "t_to" element.

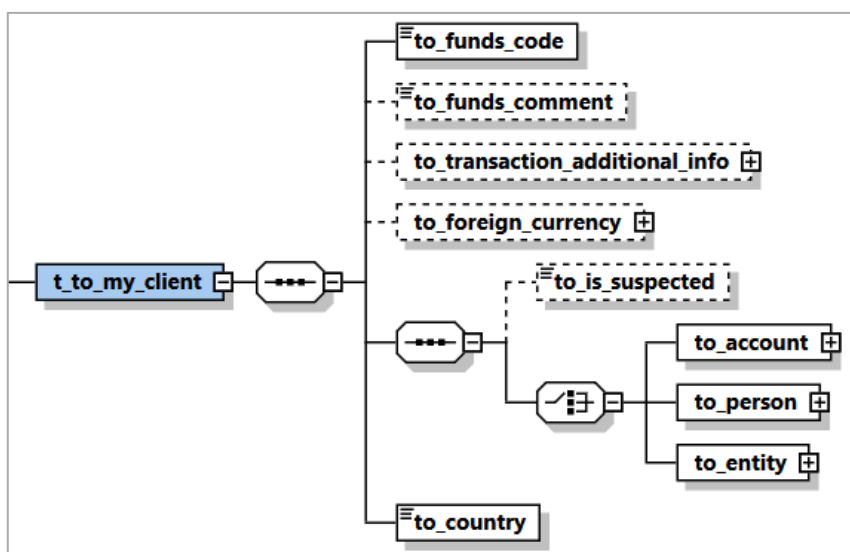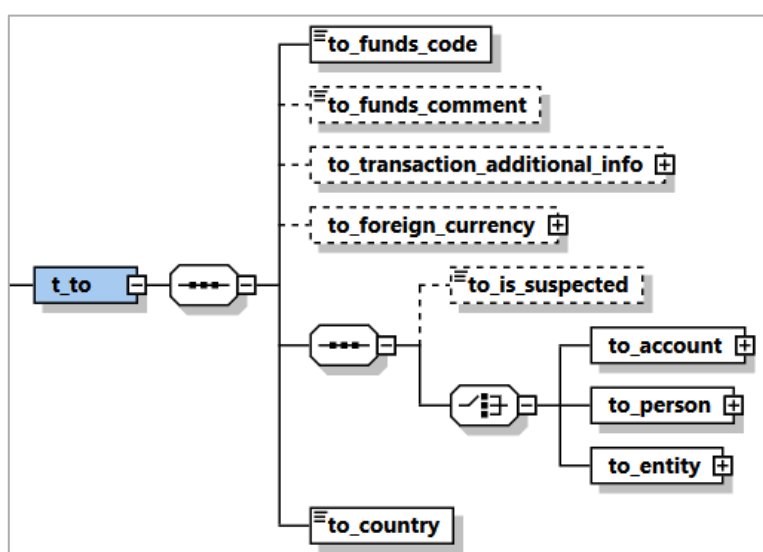*Figure 9: Overview Element "t_to"*

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
to_funds_code	Type of funds used in the transaction.	Enum "Funds Type"	Y		
to_funds_comment	**Mandatory description, if "to_funds_code" is "Other".**	Text 255	C		
to_transaction_additional_info	Additional information about the transaction, including to specify information about the IP address.	Type "transaction_additional_info_type"	N	2.0.0	See 4.13 "transaction_additional_info_type"
to_foreign_currency	If the transaction is conducted in foreign currency, then specify the foreign currency details.	Type "t_foreign_currency"	N		See 4.10 "t_foreign_currency"

to_is_suspected	Does the "To" section contain a suspect party	Boolen	N	2.0.0	
to_account	Element that holds account information	Type "t_account"	**Y** (one of three)		See 4.6. "t_account"
to_person	Element that holds person information	Type "t_person"			See 4.2. "t_person"
to_entity	Element that holds entity information.	Type "t_entity"			See 4.4. "t_entity"
to_country	Receiving country of the transaction	Enum "Country"	**Y**		

Table 9: Details Element "t_to"

3.4 "goods_services" Element
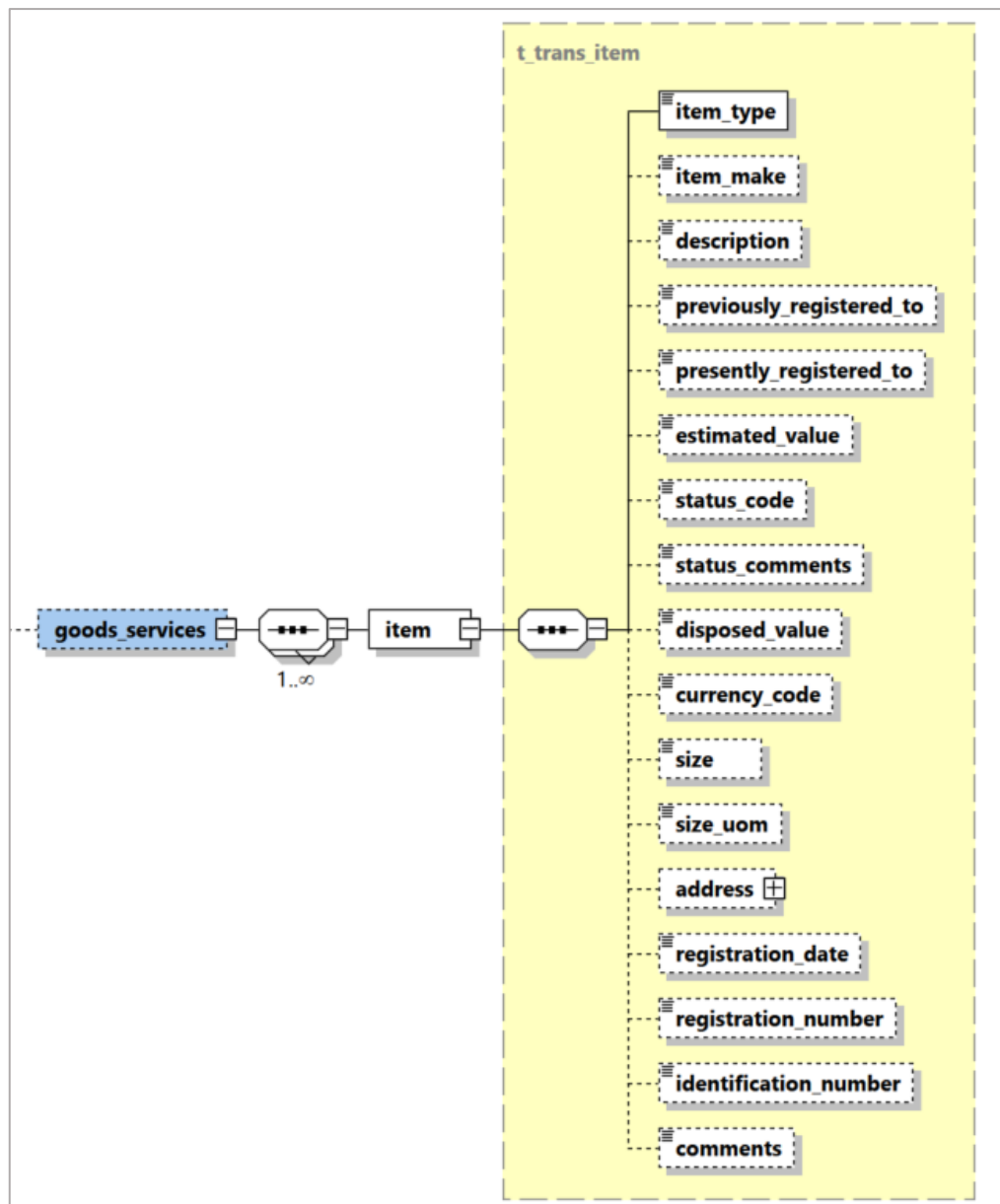
Figure 10: Overview Element "goods_sevices"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	EXAMPLE
Item_type	Type of good / service	Enum "Transaction Item Type"	**Y**	
Item_make	Service provider / good manufacturer	Text 255	N	
description	Description of the product / service	Text 8000	N	
previously_registered_to	Previous owner	Text 500	N	

presently_registered_to	Current owner	Text 500	N	
estimated_value	Value of the good / service in EUR	Decimal	N	
status_code	Product / service status	Enum "Property Status"	N	
status_comments	Comments on the status of the product / service	Text 500	N	
disposed_value	Sales value	Decimal	N	
currency_code	Currency code if the good / service is sold in a foreign currency	Enum "Currency"	N	
size	Size of the product / service	Decimal	N	
size uom	Unit of measure of the size of a good / service	Text 250	N	
address	Product / service addresses	Type t_address	N	See 4.8. t_address
registration_date	Official registration date for the product / service	DateTime	N	2020-12-17T09:30:47
registration_number	Number of the good / service included in the official register	Text 500	N	
Identification_number	Product / service identification number	Text 255	N	
Komentāri	Product / service comments	Text 8000	N	

Table 10: Overview Element "goods_services"

3.5 "means of transport" Element

Includes information on cash declarations at the state border, only for FIU Latvia use.
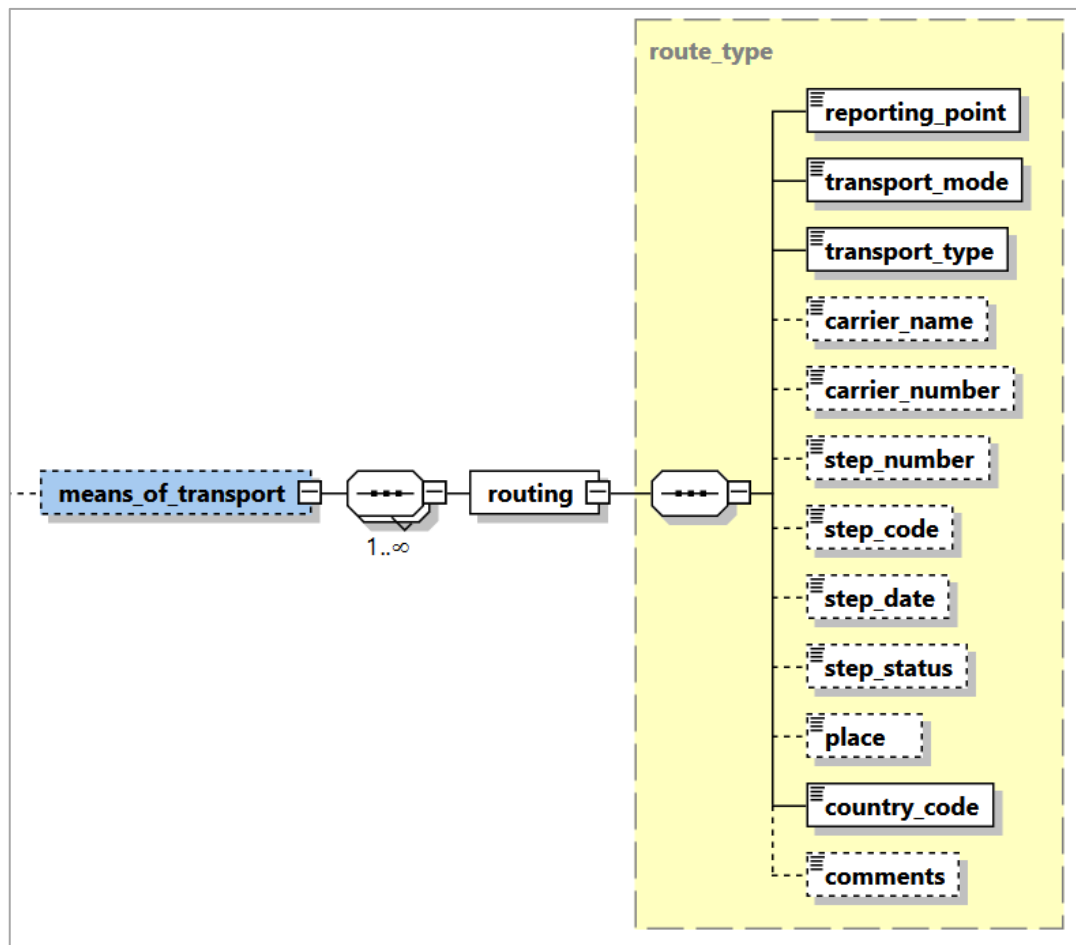
Figure 11: Overview Element "means_of_transport"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
reporting_point	Is border checkpoint?	Boolen	**Y**	2.0.0	
transport_mode	Money transfer method	Enumeration "transportation_mode_type"	**Y**	2.0.0	Air, land
transport_type	Transport type	Enumeration "transportation_type"	**Y**	2.0.0	Train
carrier_name	Carrier name	Text 500	N	2.0.0	
carrier_number	Carrier number	Text 255	N	2.0.0	
step_number	Declaration sequence number	Integer	N	2.0.0	
step_code	Transportation step code	Enumeratin "transportation_step_type"	N	2.0.0	In, out, transit
step_date	Date of declaration	DateTime	N	2.0.0	
step_status	Transportation step status	Enumeration "transportatio	N	2.0.0	Declared hidden

		n_step_status_type"			
place	Declaration place	Text 255	N	2.0.0	
country_code	Country	Enumeration "country_type"	**Y**	2.0.0	
comments	Comments related to declaration	Type "comments_type" Text 8000	N	2.0.0	

Table 11: Overview Element "means_of_transport"

4 Description of Common Types used in the Schema

In an XML schema, especially designed data types are not elements (nodes), but are a set of elements that characterize a certain structure (for example, person's data, address data structure, etc.). An unlimited number of XML elements with different names of the same type are possible in one message (for example, the type of address field data will be the same for both natural and legal persons). When an XML element is created as a specific type, that element inherits the structure specified in the XML type.

4.1 Type "t_person_my_client"

"t_person_my_client" XML type is for the XML elements which provide details about the persons relating to a transaction, who are clients of RE. Therefore, RE should have more details about the person obtained via the Customer Due Diligence (CDD), hence more fields in this type are mandatory.
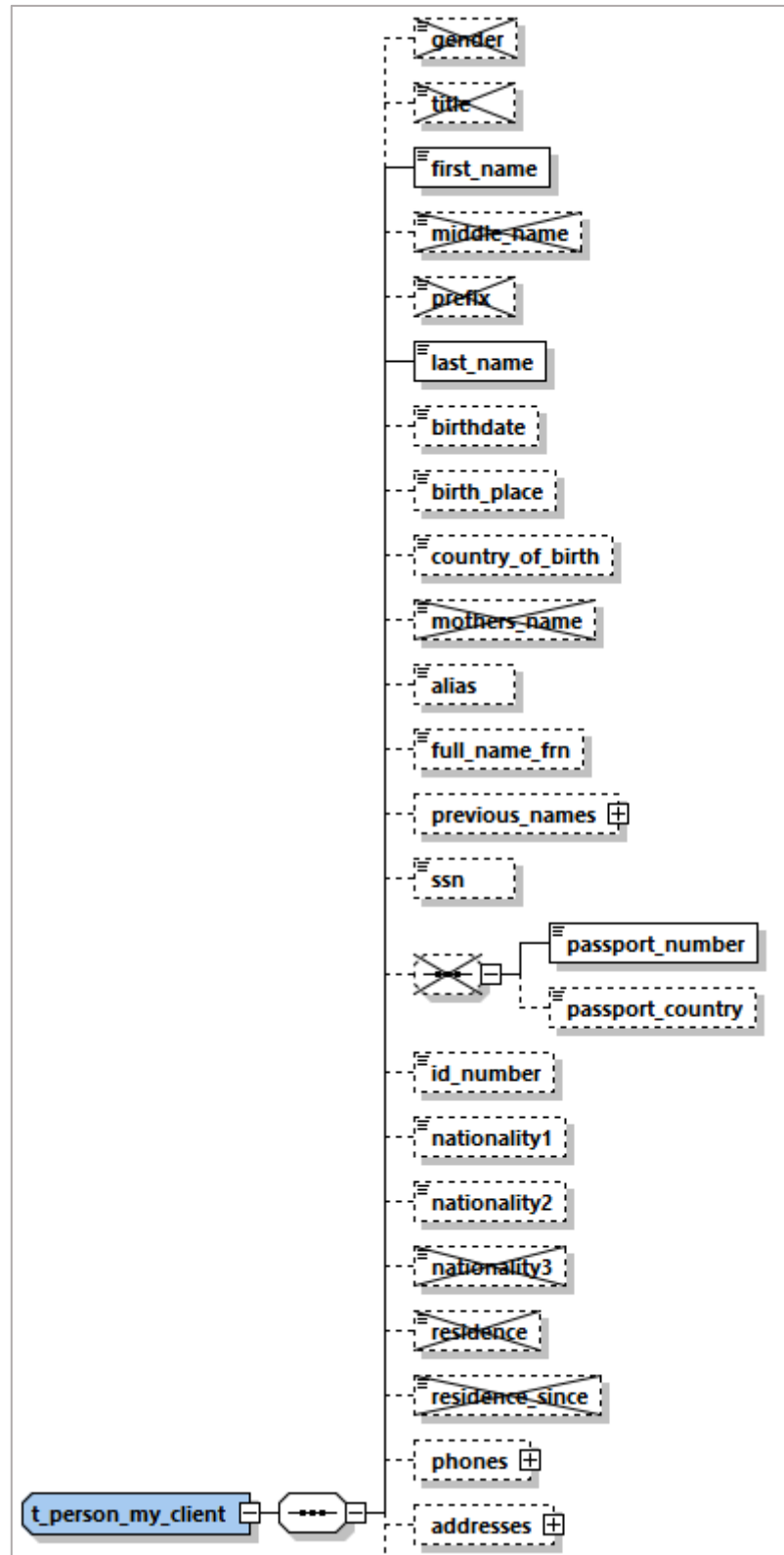
Figure 12: Overview Type "t_person_my_client" part 1

Figure 12: Overview Type "t_person_my_client" part 2

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
~~gender~~	Not in use	~~Enumeration~~	~~N~~		
~~title~~	Not in use	~~Text 30~~	~~N~~		~~Dr.~~
Only surname / last name of the person should be given in the "last_name" field, and all other parts of the name should be given in the "first_name" field.					
first_name	All parts of the name, except the last name.	Text 100	**Y**		
~~middle_name~~	Not in use	~~Text 100~~	~~N~~		
~~prefix~~	Not in use	~~Text 100~~	~~N~~		
last_name	Last name	Text 100	**Y**		
birthdate	Birth date	DateTime	**C** (if no information given in id_number field)		1953-01-25 T00:00:00
birth_place	Place of birth of the person	Text 255	N		
country_of_birth	Country of birth	Enumeration "Country"	N	2.0.0	
~~mothers_name~~	Not in use	~~Text 100~~	~~N~~		
alias	Only for FIU use (not in use for RE)	Text 100	N		
full_name_frn	Full name in original document	Text 255	N	2.0.0	Full name before transliteration
previous_names	A Holder element for the previous names of the person		C	2.0.0	

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
previous_name	Information about every previous name	Type "previous_name_type"	C	2.0.0	See 4.**Error! Reference source not found.**14 "previous_name_type"
ssn	Foreign ID number	Text 25	N		
~~passport_number~~	Not in use	~~Text 25~~	~~N~~		Use fields "Identification"
~~passport_country~~	Not in use	~~Text 25~~	~~N~~		
id_number	Latvian ID number with "-"	Text 25	C		111111-11111

nationality1	Nationality	Enum "Country"	N		
nationality2	If the customer has more than one citizenship, report the second nationality	Enum "Country"	N		
~~nationality3~~	Not in use	~~Enumeration~~	~~N~~		
~~residence~~	~~Residence country~~ Not in use	~~Enumeration~~	~~N~~		
residence_since	Residence since *Not in use*	DateTime	N	2.0.0	
phones	A Holder element for 1- ∞ "phone" elements		C		
phone	Element for providing phone details	Type "t_phone"	C		See 4.9. "t_phone"
addresses	A Holder element for 1- ∞ "address" elements		C		
address	Element for providing address details	Type "t_address "	C		See 4.8. "t_address"
network_devices	A Holder element for network devices		C	2.0.0	
network_device	Detailed information about each network device and/ or IP address	Type "network_device_type"	C	2.0.0	See 4.15 "network_device_type"
email	Email address	Type "email_address"	N		Will not be used in future versions
emails	A Holder element for the emails		C	2.0.0	

email	Detailed information about each email	Type "email_addr ess"	C	2.0.0	
social_media	A Holder element for social media accounts		C	2.0.0	
social_account	Detailed information about each social media account	Type "social_acco unt_type"	C	2.0.0	See 4.16 "social_account _type
~~occupation~~	Not in use	~~Text 255~~	~~N~~		
~~employer_name~~	~~Employer name~~ Not in use	~~Text 255~~	~~N~~		
~~employer_address_id~~	Not in use	~~Type "t_address"~~	~~N~~		
~~employer_phone_id~~	Not in use	~~Type "t_phone"~~	~~N~~		
employment_ history	A Holder element for employment history *Not in use*		C	2.0.0	

employment	Detailed information about employment *Not in use*	Type "employer_t ype"	C	2.0.0	See 4.17 "employer_type"
identification	A Holder element for "t_person_identificat ion" elements, to provide details of identity documents	Type "t_person _identificatio n"	**C**		Will not be used in next versions, replaced by "identifications" See 4.11 "t_person_ identification"
identifications	A Holder element for person's identification documents		**C**	2.0.0	

identification	Detailed information about each identification document	Type "t_person_id entification"	**C**	2.0.0	See 4.11 "t_person_ident ification"

deceased	Indicates that person is dead	Boolean	N		It is expected to be marked deceased & deceased_date or deceased
deceased_date	Date of death	DateTime	N		
tax_number	Personal taxpayer code abroad	Text 100	N		
~~tax_reg_number~~	~~Personal taxpayer code in Latvia~~ Not in use	~~Text 100~~	~~N~~		
source_of_wealth	Source of wealth	Text 255	N		
peps	A Holder element for politically exposed person		C	2.0.0	Mandatory if report contains PEP person
pep	Detailed information about politically exposed person	Type "pep_details"	C	2.0.0	See 4.18 "pep_details"
is_protected	Does the person have political protection *Not in use*	Boolen	N	2.0.0	
sanctions	A Holder element for presence of a person on sanctions lists		C	2.0.0	If the indicator ATPF is selected, at least one person must have this data block
sanction_list	Detailed information about each list of sanctions in which the person is included	Type "sanction_list_type"	C	2.0.0	See 4.19 "sanction_list_type"
related_persons	A Holder element for person's related persons		N	2.0.0	
person_related_person	Detailed information about each related person	Type "person_related_person_type"	N	2.0.0	See 4.20 "person_related_person_type"
re_relationship	A Holder element about the person as a customer for the reporting entity	Type "re_relationship_type"	N	2.0.0	See 4.33 "relationship_type""

additional_informat ion	A Holder element for person's additional information *Not in use*		40	C	2.0.0	
additional_info	Information about a person that is not included in other elements *Not in use*	Type "generic_add itional_info_ type"		C	2.0.0	See 4.22 "generic_additio nal_info_type"
comments	Comments related person	Type "comments_ type" Text 8000		N		

Table 12: Details Type "t_person_my_client"

4.2 Type "t_person"

"t_person" XML type is for the XML elements which provide details about the persons relating to a transaction, who are NOT clients of RE. This element has the same structure of the "t_person_my_client" type, but only minimum fields are mandatory. However, RE should submit every detail regarding the person, if available.

If the person has an account (of any type) maintained with the RE, persons is a "t_person_my_client". Otherwise, person is a "t_person".
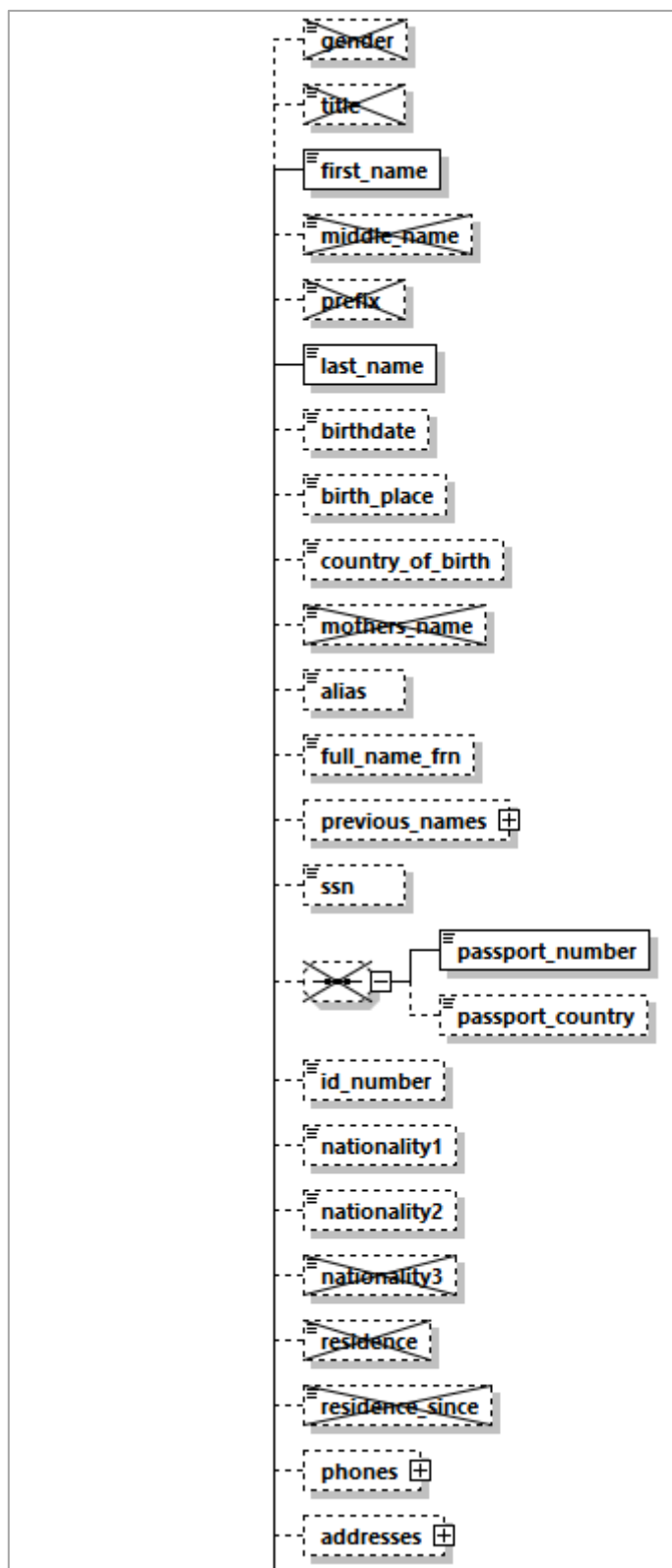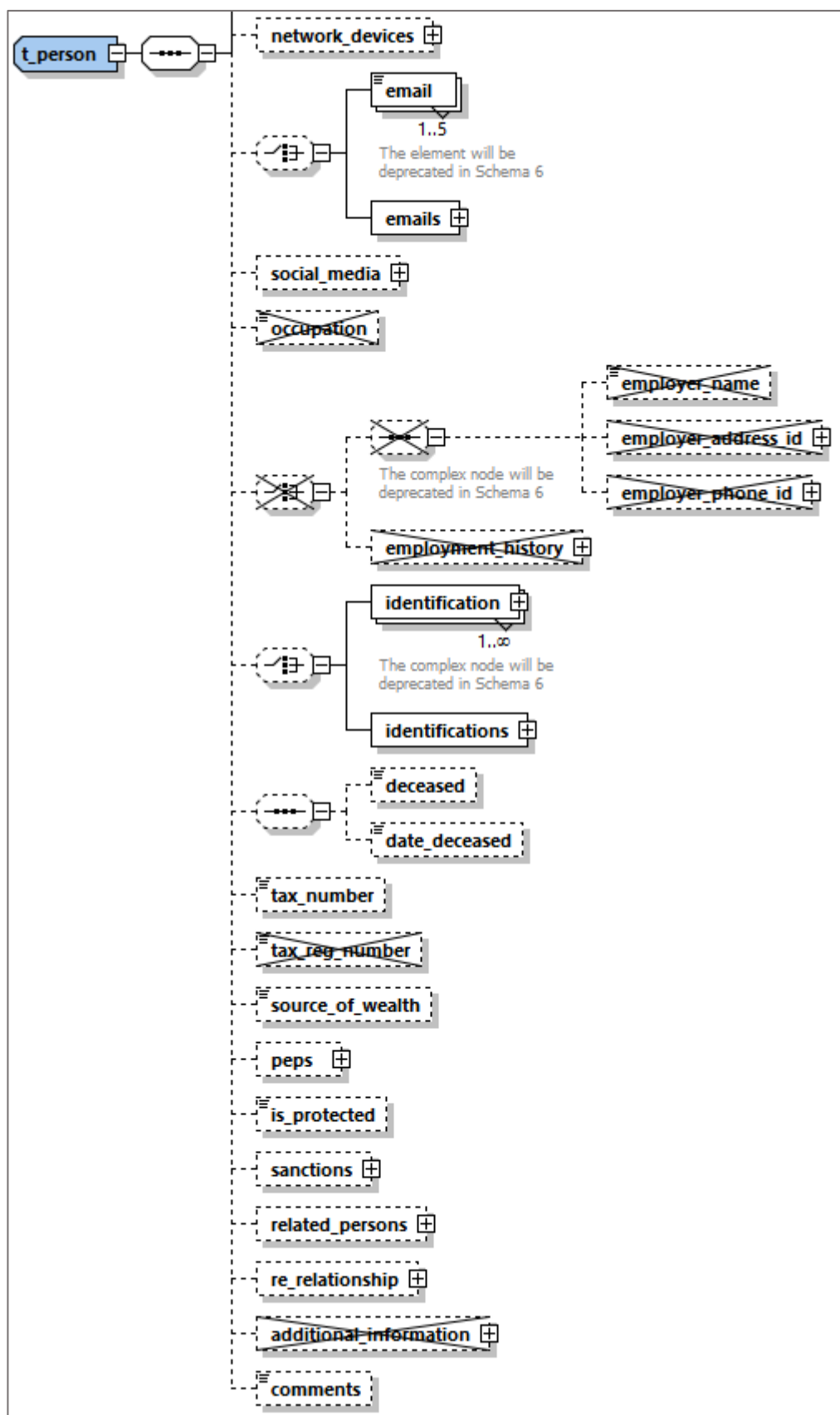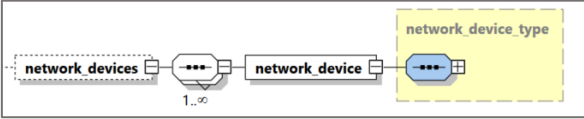
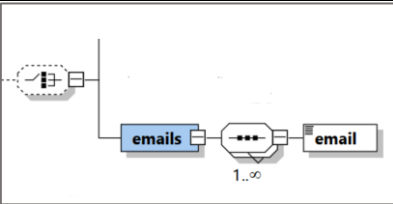*Figure 13: Overview Type "t_person" part 1*

Figure 13: Overview Type "t_person" part 2

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
~~gender~~	Not in use	~~Enumeration~~	~~N~~		
~~title~~	Not in use	~~Text 30~~	~~N~~		~~Dr.~~
Only surname / last name of the person should be given in the "last_name" field, and all other parts of the name should be given in the "first_name" field					
first_name	All parts of the name, except the last name.	Text 100	**Y**		
~~middle_name~~	Not in use	~~Text 100~~	~~N~~		
~~prefix~~	Not in use	~~Text 100~~	~~N~~		
last_name	Last name	Text 100	**Y**		
birthdate	Birth date	DateTime	N		1953-01-25 T00:00:00
birth_place	Birth place	Text 255	N		
country_of_birth	Coutry of birth	Enumeration "Country"	N	2.0.0	
~~mothers_name~~	Not in use	~~Text 100~~	~~N~~		
alias	Only for FIU use (not in use for RE)	Text 100	N		
full_name_frn	Full name in the original document	Text 255	N	2.0.0	Full name before transliteration
previous_names	A Holder element for the previous names of the person		C	2.0.0	
previous_name	Information about every previous name	Type "previous_name _type"	C	2.0.0	See 4.**Error! Reference source not found.**14 "previous_ name_type"
ssn	Foreign ID number	Text 25	N		
~~passport_number~~	Not in use	~~Text 25~~	~~N~~		Use fields "Identification"
~~passport_country~~	Not in use	~~Text 25~~	~~N~~		
id_number	Latvian ID number with "-"	Text 25	N		111111-11111
nationality1	Country of Nationality. Provide if the person does neither have a passport nor an	Enum "Country"	N		

	identity number issued in Latvia				
nationality2	If the customer has more than one citizenship, report the second nationality	Enume "Country"	N		
~~nationality3~~	Not in use	~~Enumeration~~	~~N~~		
~~residence~~	~~Residence country~~ Not in use	~~Enumeration~~	~~N~~		
residence_since	Residence since	DateTime	N	2.0.0	
phones	A Holder element for 1- ∞ "phone" elements		N		
phone	Element for providing phone details	Type "t_phone"	N		See 4.9. "t_phone"
addresses	A Holder element for 1- ∞ "address" elements		N		
address	Element for providing address details	Type "t_address "	N		See 4.8. "t_address"
network_devices	A Holder element for network devices and IP addresses		C	2.0.0	
network_device	Detailed information about each network device and/or IP address	Type "network_devic e_type"	C	2.0.0	See 4.15 "network_devic e_type"
email	Email address	Type "email_address"	N		Will not be used in future versions
emails	A Holder element for the emails		C	2.0.0	
email	Detailed information about each email	Type "email_address"	C	2.0.0	
social_media	A Holder element for social media accounts		C	2.0.0	

social_account	Detailed information about each social media account	Type "social_account _type"	C	2.0.0	See 4.16 "social_account _type
~~occupation~~	Not in use	~~Text 255~~	~~N~~		
~~employer_name~~	Not in use	~~Text 255~~	~~N~~		
~~employer_address_id~~	Not in use	~~Type "t_address"~~	~~N~~		
~~employer_phone_id~~	Not in use	~~Type "t_phone"~~	~~N~~		
employment_history	A Holder element for employment history *Not in use*		C	2.0.0	

employment	Detailed information about employment *Not in use*	Type "employer_type "	C	2.0.0	See 4.17 "employer_typ e"
identification	A Holder element for "t_person_identificat ion" elements, to provide details of identity documents	Type "t_person _identification"	C		Will not be used in next versions. See 4.11 "t_person_ identification"
identifications	A Holder element for person's identification documents		C	2.0.0	

identification	Detailed information about each identification document	Type "t_person_ident ification"	C	2.0.0	See 4.11 "t_person_iden tification"
deceased	Indicates that person is dead	Boolean	N		It is expected to be marked deceased & deceased_date or deceased
deceased_date	Date of death	DateTime	N		
tax_number	Personal taxpayer code abroad	Text 100	N		

~~tax_reg_number~~	Not in use	~~Text 100~~	~~N~~		
source_of_wealth	Source of wealth	Text 255	N		
peps	A Holder element for politically exposed person		C	2.0.0	Mandatory if report contains PEP person
pep	Detailed information about politically exposed person	Type "pep_details"	C	2.0.0	See 4.18 "pep_details"
is_protected	Does the person have political protection *Not in use*	Boolen	N	2.0.0	
sanctions	A Holder element for presence of a person on sanctions lists		C	2.0.0	If the indicator ATPF is selected, at least one person must have this data block
sanction_list	Detailed information about each list of sanctions in which the person is included	Type "sanction_list_type"	C	2.0.0	See 4.19 "sanction_list_type"
related_persons	A Holder element for person's related persons		C	2.0.0	
person_related_person	Detailed information about each related person	Type "person_related_person_type"	C	2.0.0	See 4.20 "person_related_person_type"
re_relationship	A Holder element about the person as a customer for the reporting entity	Type "re_relationship_type""	N	2.0.0	See 4.33 "re_relationship_type"
additional_information	A Holder element for person's additional information *Not in use*		C	2.0.0	
additional_info	Information about a person that is not included in other elements *Not in use*	Type "generic_additional_info_type"	C	2.0.0	See 4.22 "generic_additional_info_type"
comments	Comments related person	Type "comments_type"	N		

		Text 8000			

Table 13: Details Type "t_person"

4.3   Type "t_entity_my_client"

"t_entity_my_client" XML type is for the XML elements which provide details about the entities relating to a   transaction, who are customers of RE. Therefore, RE should have more details about the entity obtained via the Customer Due Diligence (CDD), hence more fields in this type are mandatory.
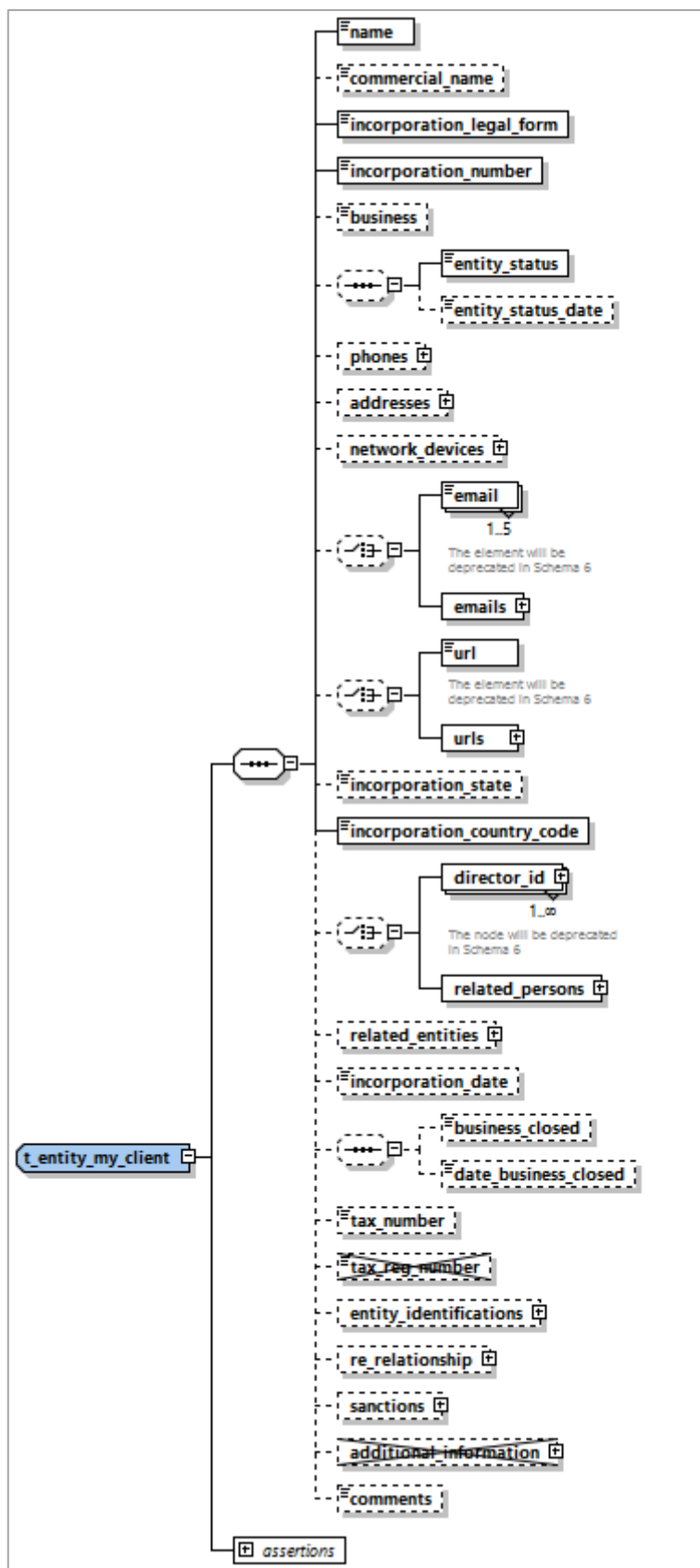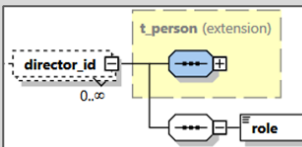
Figure 14: Overview Type "t_entity_my_client"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
name	Name of entity	Text 255	**Y**		
commercial_name	Commercial name of the entity, if different from the registered name	Text 255	N		
incorporation_legal_form	The legal form of the entity	Enum "Legal Form"	**Y**		
incorporation_number	**Registration Number of entity**	Text 50	**Y**		
business	Nature of business activities from NACE v.2 code list published by FIU-LV (provide a code or multiple semicolon-separated codes)	Text 255	**C**		A01.1;C10.6
entity_status	Entity status	Enum "entity_status_type"	**C**	2.0.0	Active
entity_status_date	The date from legal entity status is active	DateTime	N	2.0.0	
phones	A Holder element for 1-∞ "phones" elements		C		
phone	Element for providing phone details	Type "t_phone"	C		See 4.9. "t_phone"
addresses	A Holder element for 1- ∞ "address" elements		C		
address	Element for providing address details	Type "t_address"	C		See 4.8. "t_address"
network_devices	A Holder element for network devices and IP addresses		C	2.0.0	
network_device	Detailed information about each network device and/or IP address	Type "network_device_type"	C	2.0.0	See 4.15 "network_device_type"
email	Email address	Text 255	N		Will not be used in next versions
emails	A Holder element for email addresses		C	2.0.0	
email	Email address	Type "email_address"	C	2.0.0	
url	URL of entity	Text 255	N		Will not be used in next versions

urls	A Holder element for URL of entity			2.0.0	
url	URL of entity	Text 255	N	2.0.0	
incorporation_state	Only for FIU use (not in use for RE)	Text 255	N		
incorporation_country _code	Entity incorporated country	Enum "Country"	**Y**		
director_id	A holder element for 1 - ∞ entity owners (Information block on natural persons related to the company (owners, beneficial owners, etc.)	Type "t_person"	**C**		See 4.2 "t_person" Will not be used in next versions
role	Role of the person given in "director_id"	Enum "Entity Person Role Type""	**C**		Mandatory if "director_id" is included Will not be used in next versions, replaced by "related_pers ons"
related_persons	A Holder element for natural persons related to the company		**C**	2.0.0	A block of fields that replaces "director_id"
entity_related_person	Detailed information about each related person	Type "entity_relate d_person_typ e"	**C**	2.0.0	See 4.23 "entity_relate d_person_typ e"
related_entities	A Holder element for legal persons related to the company		**C**	2.0.0	
entity_related_entity	Detailed information about each related legal person	Type "entity_relate d_entity_type "	**C**	2.0.0	See 4.24 "entity_relate d_entity_type "
incorporation_date	Incorporation registration date	DateTime	N		
business_closed	Boolean to indicate if the company is closed down	Boolean	N		
date_business_closed	If entity is closed date of business closure	DateTime	N		

tax_number	The entity tax number (for foreign entities in their incorporation country)	Text 100	N		
~~tax_reg_number~~	Not in use	~~Text 100~~	~~N~~		
entity_identifications	A Holder element for entity identification		C	2.0.0	License
entity_identifier	Detailed information on each legal entity identification document	Type "t_entity_iden tification"	C	2.0.0	See 4.25 "t_entity_iden tification"
re_relationship	Information about the entity as a client of the report submitter	Type "re_ relationship_ type"	C	2.0.0	See 4.33 "re_ relationship_ type""
sanctions	A Holder element for entity sanctions lists		C	2.0.0	If indicator ATPF is selected, at least one entity must have this data block.
sanction_list	Information on each list of sanctions in which the legal entity is included	Type "sanction_list _type"	C	2.0.0	See 4.19 "sanction_list _type"
additional_information	A Holder element for entity additional information *Not in use*		C	2.0.0	
additional_info	Additional information about the legal entity that is not included in other elements *Not in use*	Type "generic_addi tional_info_ty pe"	C	2.0.0	See 4.22 "generic_addi tional_info_ty pe"
comments	Generic comments field	Type "comments_t ype" Text 8000	N		

Table 14: Details Type "t_entity_my_client"

4.4 Type "t_entity"

"t_entity" XML type is for the XML elements which provide details about the entities relating to a transaction, who are NOT client of the RE. This element has the same structure of the "t_entity_my_client" type, but only the name field is mandatory. However, RE should submit every detail regarding the entity, if available.
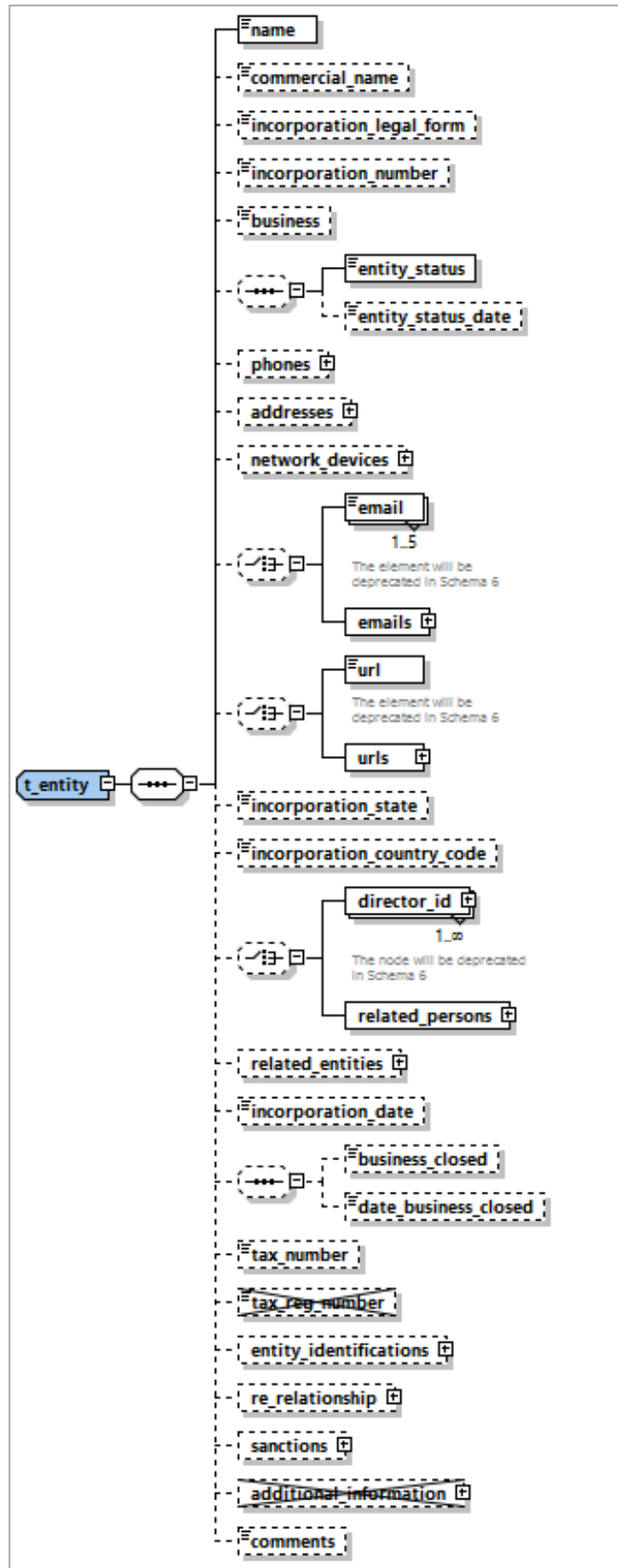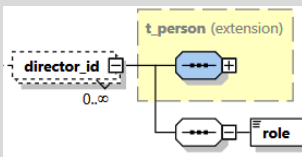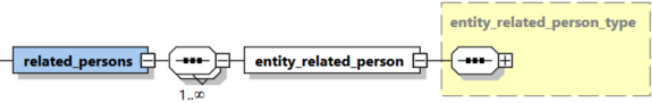
Figure 15: Overview Type "t_entity"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
name	Name of entity	Text 255	**Y**		
commercial_name	Commercial name of the entity, if different from the registered name	Text 255	N		
incorporation_legal_form	The legal form of the entity	Enum "Legal Form"	N		
incorporation_number	Business Registration Number of the registered entities	Text 50	N		
business	Nature of business activities from NACE v.2 code list published by FIU-LV (provide a code or multiple semicolon-separated codes)	Text 255	N		A01.1;C10.6
entity_status	Entity status	Enum "entity_status_type"	**C**	2.0.0	Active
entity_status_date	The date from when legal entity status is active	DateTime	N	2.0.0	
phones	A Holder element for 1-∞ "phones" elements		N		
phone	Element for providing phone details	Type "t_phone"	N		See 4.9. "t_phone"
addresses	A Holder element for "address" elements		N		
address	Element for providing address details	Type "t_address"	N		See 4.8. "t_address"
network_devices	A Holder element for network devices and IP addresses		C	2.0.0	
network_device	Detailed information about each network device and/or IP address	Type "network_device_type"	C	2.0.0	See 4.15 "network_device_type"
email	Email address	Text 255	N		Will not be used in next versions
emails	A Holder element for email addresses		C	2.0.0	
email	Email address	Type "email_address"	C	2.0.0	

Field	Description	Type		Version	Notes
url	URL of entity	Text 255	N		Will not be used in next versions
urls	A Holder element for URL of entity			2.0.0	
url	URL of entity	Text 255	N	2.0.0	
incorporation_state	Only for FIU use (not in use for RE)	Text 255	N		
incorporation_country _code	Entity incorporated country	Enum "Country"	N		
director_id	A holder element for 1 - ∞ entity owners (Information block on natural persons related to the company (owners, beneficial owners, etc.)	Type "t_person"	N		See 4.2 "t_person" Will not be used in next versions, replaced by "related_pers ons"
role	Role of the person given in "director_id"	Enum "Entity Person Role Type"	**C**		Mandatory if "director_id" is included Will not be used in next versions
related_persons	A Holder element for natural persons related to the company		**C**	2.0.0	A block of fields that replaces "director_id"
entity_related_person	Detailed information about each related person	Type "entity_relat ed_person_t ype"	**C**	2.0.0	See 4.23 "entity_relate d_person_typ e"
related_entities	A Holder element for legal persons related to the company		**C**	2.0.0	
entity_related_entity	Detailed information about each related legal person	Type "entity_relat ed_entity_ty pe"	C	2.0.0	See 4.24 "entity_relate d_entity_type "
incorporation_date	Incorporation registration date	DateTime	N		
business_closed	Boolean to indicate if the company is closed down	Boolean	N		

date_business_closed	If entity is closed date of business closure	DateTime	N		
tax_number	The entity tax number (for foreign entities in their incorporation country)	Text 100	N		
~~tax_reg_number~~	Not in use	Text 100	~~N~~		
entity_identifications	A Holder element for entity identification		C	2.0.0	License
entity_identifier	Detailed information on each legal entity identification document	Type "t_entity_id entification"	C	2.0.0	See 4.25 "t_entity_ide ntification"
re_relationship	Information about the entity as a client of the report submitter	Type "re_ relationship _type"	C	2.0.0	See 4.33 "re_ relationship_t ype""
sanctions	A Holder element for entity sanctions lists		C	2.0.0	If indicator ATPF is selected, at least one party must have this data block
sanction_list	Information on each list of sanctions in which the legal entity is included	Type "sanction_lis t_type"	C	2.0.0	See 4.19 "sanction_list _type"
additional_information	A Holder element for entity additional information _Not in use_		C	2.0.0	
additional_info	Additional information about the legal entity that is not included in other elements _Not in use_	Type "generic_ad ditional_info _type"	C	2.0.0	See 4.22 "generic_addi tional_info_ty pe"
comments	Generic comments field	Type "comments_t ype" Text 8000	N		

Table 15: Details Type "t_entity"

4.5 Type "t_account_my_client"

"t_account_my_client" XML type is for the XML elements which provide details about the accounts relating to a transaction, which are held by RE. Therefore, RE should have more details about the account, and the persons and entities associated with the account, obtained via the Customer Due Diligence (CDD). Hence more fields in this type are mandatory.

In terms of reporting structure, an account should have persons or entities associated to it in "signatory" or "related_persons" (from version 2.0.0). Element "t_entity" contains information about Entity as a holder of account. One from elements "t_entity" or "signatory"/"related_persons" is mandatory.

If the account holder (entity) is client of RE, element "signatory" /"related_persons" should be given under "t_entity_my_client" element.
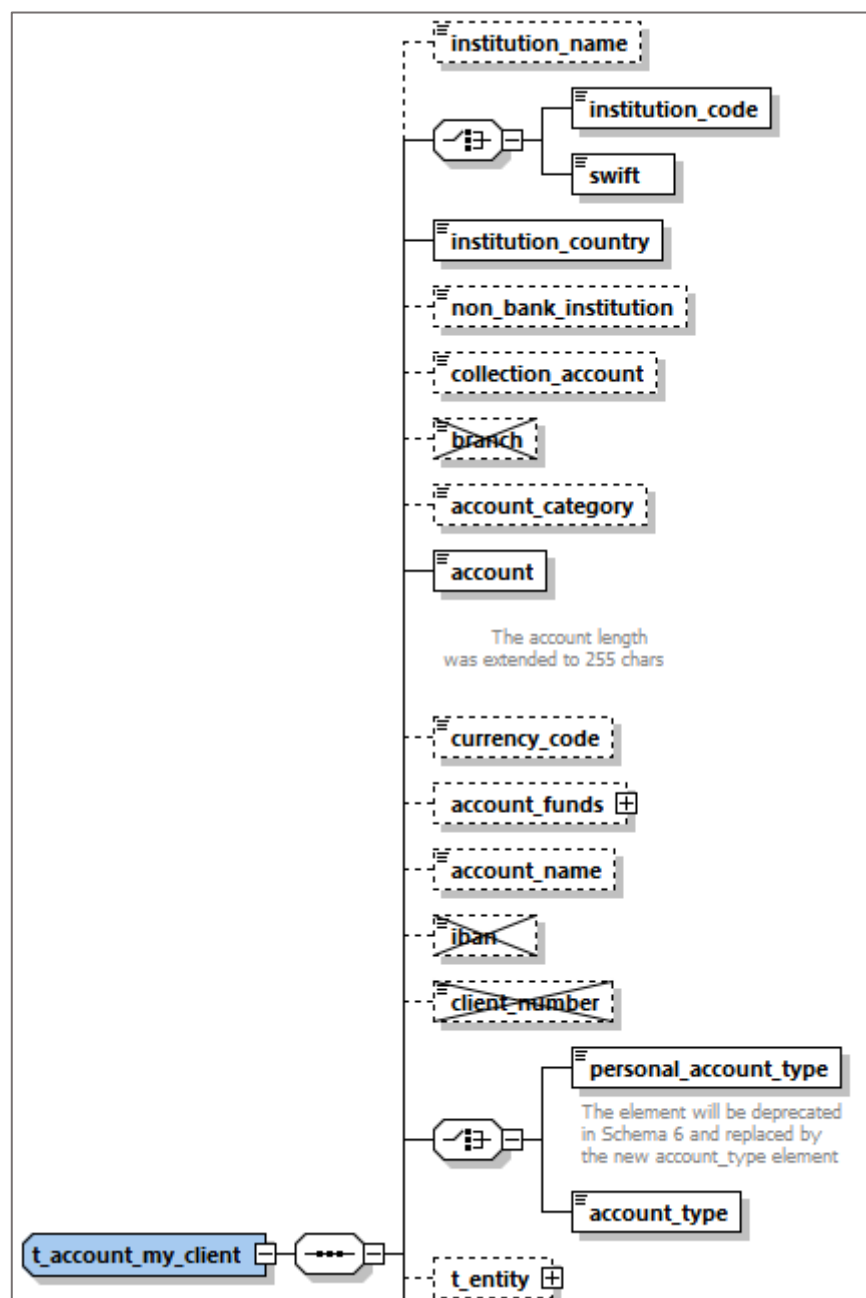

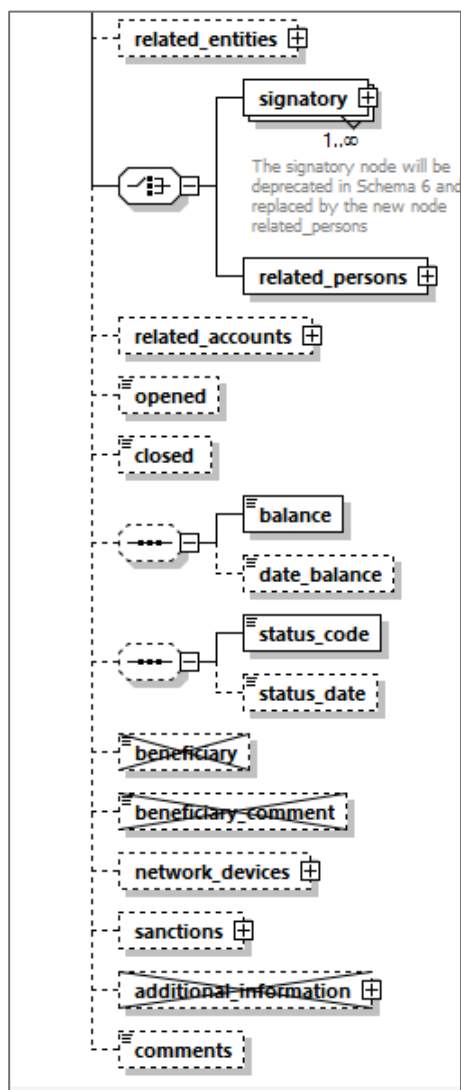*Figure 16: Overview Type "t_account_my_client" part 1*

Figure 17: Overview Type "t_account_my_client" part 2

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
institution_name	The name of the account holding entity	Text 255	N		
institution_code	Institution registration number in a public register	Text 50	**Y (one of them)**		
swift	SWIFT code	Text 11			Mandatory for RE who has SWIFT
institution_country	Country of the institution where the account is opened	Enum "Country"	**Y**	2.0.0	

non_bank_institution	Notification that an account is **not** opened in bank	Boolean	N		
collection_account	Is the account being used for collecting donations	Boolean	N	2.0.0	
~~branch~~	Not in use	Text 255	N		
account	Account number	Text 255	**Y**		*Increased length*
account_category	Account category	Enum "account category_type"	N	2.0.0	Mandatory for not a classic account
currency_code	Currency of the account	Enum "Currency"	N		
account_funds	A Holder element for an account funds		N	2.0.0	Use for multi-currency accounts
account_fund	Information about account's fund on the specified date	Type "account_fund_type"	N	2.0.0	See 4.26 "account_fund_type"
account_name	Non-bank account, "*wallet*" (e.g. at payment institutions)	Text 255	C		
~~iban~~	Not in use	Text 34	N		
~~client_number~~	Not in use	Text 30	N		
personal_account_type	Account type	Enum "Account Type"	Y (one of two)		*Will not be used at next versions*
account_type	Account type	Enum "Account Type"		2.0.0	*Previous element is replaced by new*
t_entity	Mandatory for entity accounts Holder element for one "t_entity_my_client" element to provide details of the entity owning the account	Type "t_entity_my_client"	C		See 4.3 "t_entity_my_client"
related_entities	A Holder element for other related entities which are related to the specified account		N	2.0.0	

account_related_entit y	Information about each entity associated with the specified account	Type "account_rela ted_entity_ty pe	N	2.0.0	See 4.27 "account_rel ated_entity_ type

signatory	Mandatory for natural persons' accounts Holder element for "t_person_my_client" elements to provide details of signatory/ -ies of account which belongs to legal person		Y		*Will not be used at next versions, replaced by related_pers ons*
is_primary	Identifies the primary account holder (shows that account belongs to natural person). Only one signatory should be marked as "is_primary".	Boolean	C		
t_person	Element to provide details of the persons associated with the account	Type "t_person_ my_client"	Y		See 4.1 "t_person_ my_client"
role	Role of the person associated with the account	Enum "Account Person Role Type"	Y		

related_persons	A Holder element for related persons (associated with specified account)		**Y** (if in v. 2.0.0 is not used 'signatory'	2.0.0	Previous element "signatory" is replaced by "related_persons"
account_related_person	Information about each person associated with the account	Type "account_related_person_my_client_type"	**Y**	2.0.0	See 4.28 "account_related_person_my_client_type
related_accounts	A Holder element for related accounts (associated with specified account)		N	2.0.0	
account_related_account	Information about each account associated with the specified account	Type "account_related_account_type"	C	2.0.0	See 4.30 "account_related_account_type"
opened	Opening date of the account	DateTime	N		
closed	Closing date of the account (if closed)	DateTime	N		
balance	The account balance after the transaction was conducted.	Decimal	N		
date_balance	A date field to specify the date of the reported balance.	DateTime	N		
status_code	Account status when transaction was initiated	Enum "Account Status"	N		
status_date	Current account status date	DateTime	N	2.0.0	Used together with field "status_code"
~~beneficiary~~	Not in use	Text 50	~~N~~		Beneficiary should be included in "director_id", under "entity" or "related_persons"
~~beneficiary_comment~~	Not in use	Text 255	~~N~~		
network_devices	A Holder element for network devices and IP addresses		C	2.0.0	

network_device	Detailed information about each network device and/or IP address	Type "network_device_type"	C	2.0.0	See 4.15 "network_device_type"
sanctions	A Holder element for accounts (or associated with account) in the sanction lists		C	2.0.0	Applies to cases where sanctions are applied at the account level, for example the credit institution that opened the account
sanction_list	Information about each sanction list that includes the person associated with the account	Type "sanction_list_type"	C	2.0.0	See 4.19 "sanction_list_type"
additional_ information	A Holder element for additional information associated with account *Not in use*		C	2.0.0	
additional_info	Additional information associated with account	Type "generic_additional_info_type"	C	2.0.0	See 4.22 "generic_additional_info_type"
comments	Generic comments element	Type "comments_type" Text 8000	N		

Table 16: Details Type "t_account_my_client"

4.6 "t_account"

"t_account" XML type is for the XML elements which provide details about the accounts relating to a transaction, which are NOT held by RE. This element has the same structure of the "t_account_my_client" type, but only the name & code of the institution holding the account, the account number and minimum information on account holder (Person/Entity) are mandatory. However, Reporting Institutions should submit every detail regarding the account, if available.
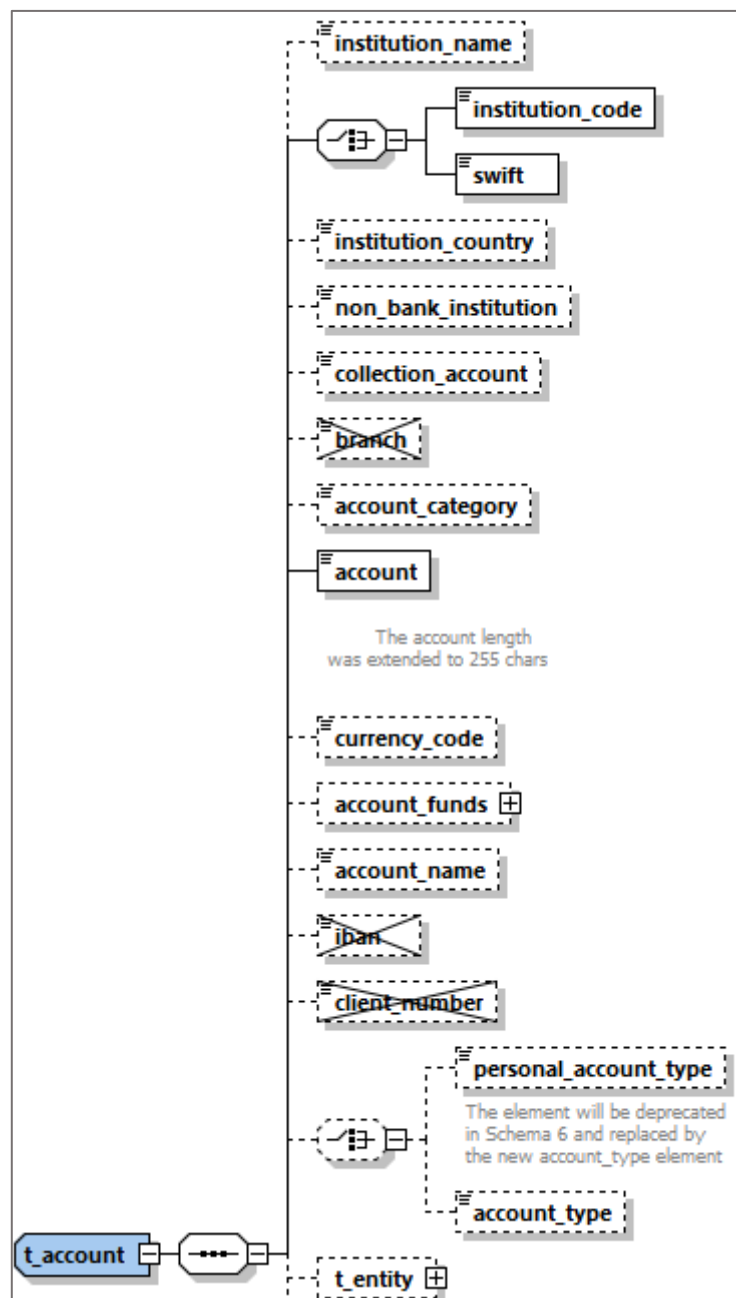
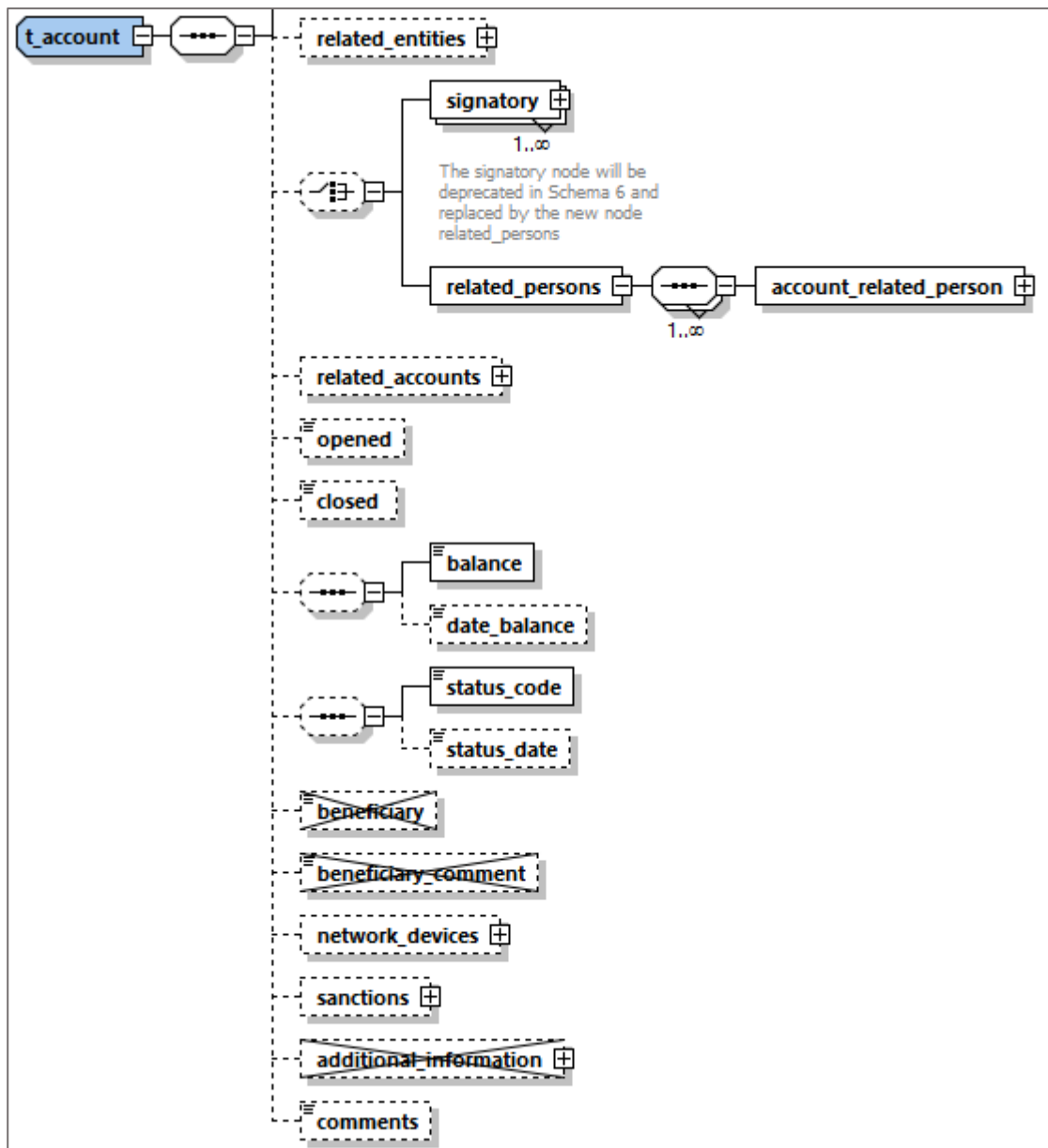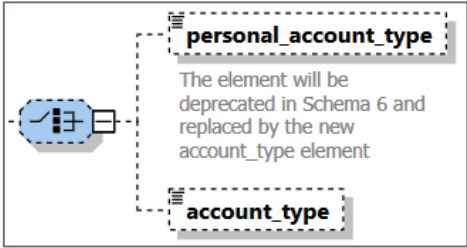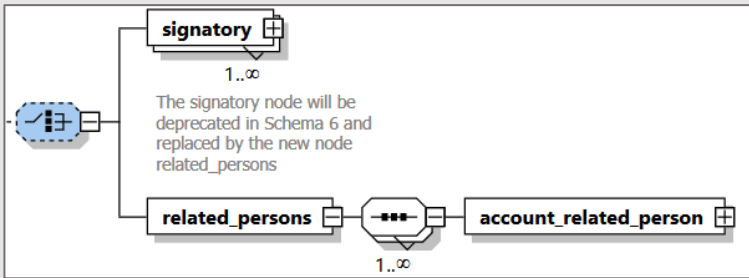*Figure 18: Overview Type "t_account" part 1*

Figure 18: Overview Type "t_account" part 2

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
institution_name	Name of the bank or other institution where the account is opened.	Text 255	N		
institution_code	Provide institution's registration number in a public register if "swift" not assigned.	Text 50	**Y (one of them)**		
swift	SWIFT code identifying the institution where the account is opened. If a SWIFT code has not been assigned, it is mandatory to provide "institution_code".	Text 11			

institution_country	Country of the institution where the account is opened	Enum "Country"	N	2.0.0	
non_bank_institution	Notification that an account is not opened in bank	Boolean	N		
collection_account	Is the account being used for collecting donations	Boolean	N	2.0.0	
~~branch~~	Not in use	Text 255	N		
account	Account number	Text 255	**Y**		
account_category	Account category	Enum "account category_type"	N	2.0.0	Mandatory for not a classic accounts
currency_code	Base currency of the account must be specified for STR and STRA report party "Account (My Client)" and SAR report party "Account".	Enum "Currency"	N		
account_funds	A Holder element for an account funds		N	2.0.0	Use for multi-currency accounts
account_fund	Information about account's fund on the specified date	Type "account_fund_type"	N	2.0.0	See 4.26 "account_fund_type"
account_name	Digital wallet provider's (e.g., a payment institution's) account with a credit institution. Provide only if the report contains a digital wallet.	Text 255	**C**		
~~iban~~	Not in use	Text 34	N		
~~client_number~~	Not in use	Text 30	N		
personal_account_type	The account type must be specified for STR and STRA report party "Account (My Client)" and SAR report party "Account".	Enum "Account Type"	**N**		Will not be used at next versions
account_type	Account type	Enum "Account Type"	**N**	2.0.0	Previous element is replaced by "account_type"
t_entity	Mandatory for entity accounts Holder element for one "t_entity_my_client" element to provide details of the entity owning the account	Type "t_entity"	**C**		See 4.4 "t_entity"

related_entities	A Holder element for other related entities which are related to the specified account		**N**	2.0.0	
account_related_ entity	Information about each entity associated with the specified account	Type "account_related_entity_type	**N**	2.0.0	See 4.27 "account_related_entity_type

signatory	Mandatory for natural persons' accounts Holder element for "t_person_my_client" elements to provide details of signatory/ -ies of account which belongs to legal person		C		*Will not be used at next versions, replaced by "related_persons"*
is_primary	Identifies the primary account holder (shows that account belongs to natural person). Only one signatory should be marked as "is_primary".	Boolean	C		
t_person	Element to provide details of the persons associated with the account	Type "t_person"	C		See 4.2 "t_person"
role	Role of the person associated with the account	Enum "Account Person Role Type"	C		Mandatory if "signatory" is included
Related_persons	A Holder element for related persons (associated with specified account)		Y (if in v. 2.0.0 is not used 'signatory'	2.0.0	Previous element "signatory" is replaced by "related_persons"
account_related_person	Information about each person associated with the account	Type "account_related_person"	C	2.0.0	See 4.29 "account_related_person_type"
related_accounts	A Holder element for related accounts (associated with specified account)		N	2.0.0	
account_related_account	Information about each account associated with the specified account	Type "account_related_account_type"	C	2.0.0	See 4.30 "account_related_account_type"

opened	Opening date of the account	DateTime	N		
closed	Closing date of the account (if closed)	DateTime	N		
balance	The account balance at the end of the day in the main account's currency. The account balance must be provided for STR and STRA report party "Account (My Client)" and SAR report party "Account".	Decimal	N		
date_balance	A date field to specify the date of the reported balance.	DateTime	N		
status_code	Account status when transaction was initiated	Enum "Account Status"	N		
status_date	Current account status date	DateTime	N	2.0.0	
~~beneficiary~~	Not in use	Text 50	~~N~~		Beneficiary should be included in "director_id" or "related_ Persons", under "entity"
~~beneficiary_comment~~	Not in use	Text 255	~~N~~		
network_devices	A Holder element for network devices and IP addresses		C	2.0.0	
network_device	Detailed information about each network device and/or IP address	Type "network_device_type"	C	2.0.0	See 4.15 "network_device_type"
sanctions	A Holder element for accounts (or associated with account) in the sanction lists		C	2.0.0	Applies to cases where sanctions are applied at the account level, for example the credit institution that opened the account
sanction_list	Information about each sanction list that includes the person associated with the account	Type "sanction_list_type"	C	2.0.0	See 4.19 "sanction_list_type"
additional_information	A Holder element for additional information associated with account		C	2.0.0	
additional_info	Additional information associated with account *Not in use*	Type "generic_additional_info_type"	C	2.0.0	See 4.22 "generic_additional_info_type"
comments	Generic comments element *Not in use*	Type "comments_type" Text 8000	N		

Table 17: Details Type "t_account"

4.7 Type "t_party"

"t_party" XML type is for "party" XML elements in multi-party transactions, where the details of PAEs involved in the transaction can be reported with the transaction, without from/to direction. A multi-party transaction can have 1 to many PAEs in the "involved_parties" element within the "transaction" element, which is a collection of "party" elements of "t_party" type. Each "party" element can have one Person, Account, or Entity (my clients or not my clients) element, and their role in the transaction should be reported within the "party" element.
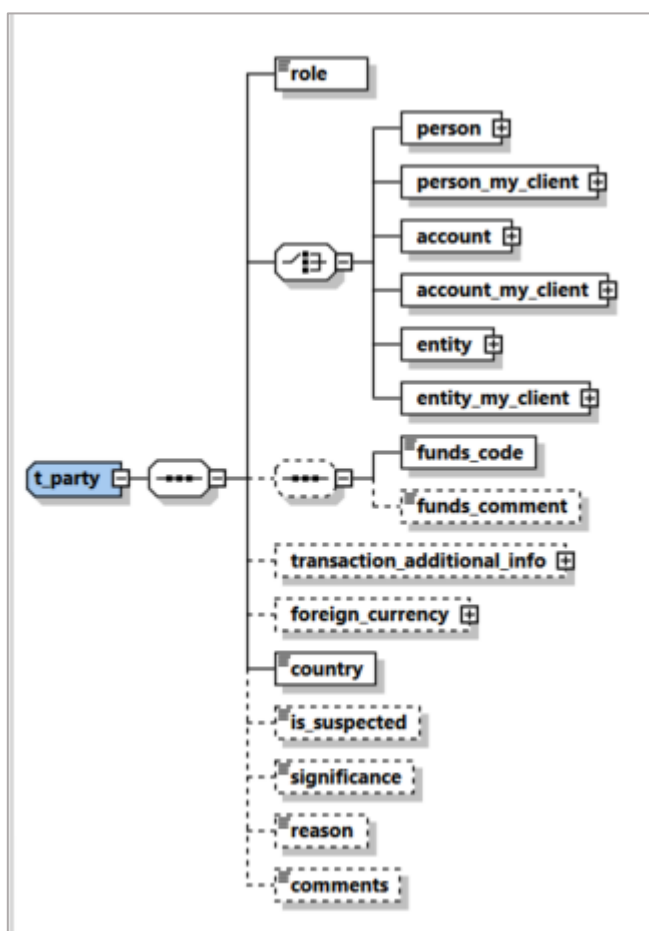

Figure 19: Overview Type "t_party"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
role	Role of the PAE in the transactions	Enum "Party role"	Y		
person	Involved natural person (not my client)	Type "t_person"	Y (one of them)		See 4.2 "t_person"
person_my_client	Involved natural person (my client)	Type "t_person_my			See 4.1 "t_person_my_cl

		_client"			ient"
account	Involved account (not my client)	Type "t_account"			See 4.6 "t_account"
account_my_client	Involved account (my client)	Type "t_account_my_client"			See 4.5 "t_account_my_client"
entity	Involved entity (not my client)	Type "t_entity"			See 4.4 "t_entity"
entity_my_client	Involved entity (my client)	Type "t_entity_my_client"			See 4.3 "t_entity_my_client"
funds_code	Type of funds used in transaction	Enum "Funds Type"	N		
funds_comment	Description of the funds. Required field if "funds_code" is "OTHR" (Other).	255	C		
transaction_additional_info	Information about virtual currencies and mobile money transfers or IP address	Type "transaction_additional_info_type"	N	2.0.0	See 4.13 "transaction_additional_info_type"
foreign_currency	If the transaction is conducted in foreign currency, then specify the foreign currency details.	Type "t_foreign_currency"	N		See 4.10 "t_foreign_currency"
country	Country in which the party was involved in the transaction.	Enum "Country"	**Y**		
is_suspected	Is participant suspected	Boolen	N	2.0.0	
significance	The PEA's significance in the report (scale from 1 to 10) *Not in use*	Integer	**N**		1-10 (10 – central party)
reason	Reason about the suspiciousness of the participant *Not in use*	Type "comments_type" Text 8000	**N**	2.0.0	
comments	Other information about party's involvement in the transaction.	Type "comments_type" Text 8000	N		

Table 18: Details Type "t_party"

4.8 Type "t_address"

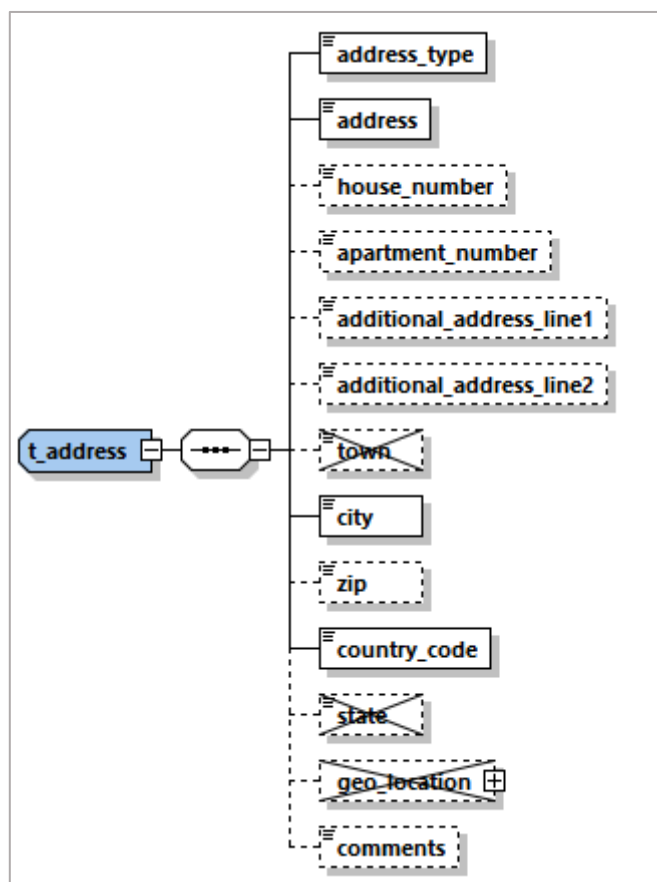"t_address" XML type is for XML elements which provide address details of persons and entities.

Figure 20: Overview Type "t_address"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
address_type	The contact type of the address	Enum "Phone Address Type"	Y		
address	Street, house number of building/house name, apartment number	Text 100	Y		
house_number	House number *Not in use*	Text 25	N	2.0.0	
apartment_number	Apartment number *Not in use*	Text 25	N	2.0.0	
additional_address_line1	Additional address (1) *Not in use*	Text 100	N	2.0.0	
additional_address_line2	Additional address (2) *Not in use*	Text 100	N	2.0.0	
~~town~~	Not in use	Text 255	~~N~~		

city	City/town or region/county	Text 255	Y		
zip	Postal code	10	N		
country_code	Country of the address	Enum "Country"	Y		
~~state~~	Not in use	Text 255	N		
geo_location	A Holder element for geo location *Not in use*		N	2.0.0	
latitude	Latitude	Decimal	C	2.0.0	65.45
longitude	Longitude	Decimal	C	2.0.0	
plus_code	The open source "Plus Code" standard	Text Min 6 Max25	C	2.0.0	
is_approx_location	Is location approximate?	Boolen	N	2.0.0	
error_distance_ margin	Measurement error limit	Decimal	N	2.0.0	
comments	Generic comments	Type "comments_ type" Text 8000	N		

Table 19: Details Type "t_address

4.9 Type "t_phone"

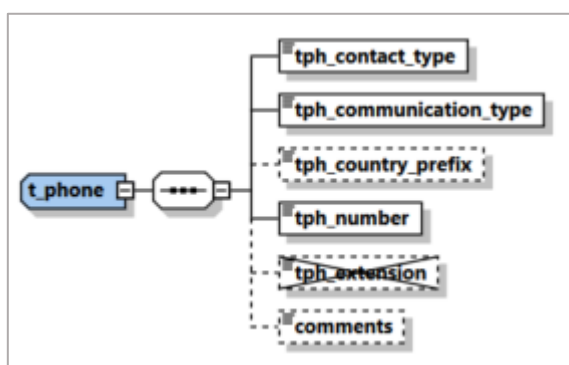"t_phone" XML type is for XML elements which provide telephone details of persons and entities.

Figure 21: Overview Type "t_phone"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
tph_contact_type	The contact type of the phone	Enum "Phone Address Type"	Y		

tph_communication_type	The communication type of the phone	Enum "Communication Type"	Y		
tph_country_prefix	Country calling code (must start with +)	Text 4	Y		
tph_number	Phone number (digits only)	Text 50	**Y**		
~~tph_extension~~	Not in use	Text 10	N		
comments	Generic comments	Type "comments_type" Teksts 8000	N		

Table 20: Details Type "t_phone"

4.10 Type "t_foreign_currency"

"t_foreign_currency" XML type is for XML elements which provide details of foreign currencies used in the transaction.
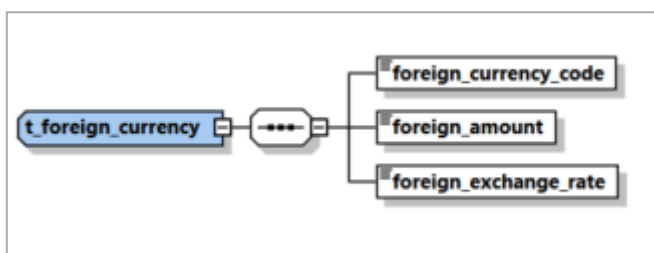

Figure 22: Overview Type "t_foreign_currency"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
foreign_currency_code	Currency code the particular party used in the transaction.	Enum "Currency"	**Y**		
foreign_amount	Transaction amount in foreign currency (maximum 2 decimal places)	Decimal	**Y**		
foreign_exchange_rate	Currency exchange rate to EUR	Decimal	**Y**		

Table 21: Details Type "t_foreign_currency"

4.11 Type "t_person_identification"

"t_person_identification" XML type is for XML elements which provide details of identification of person.
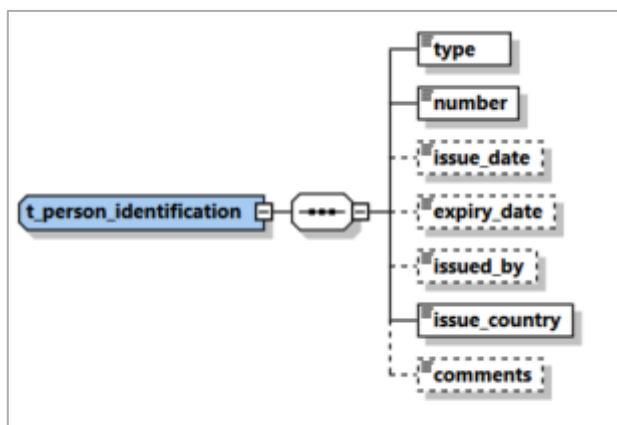
Figure 23: Overview Type "t_person_identification"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
type	ID document type	Enum "Identification Type"	**Y**		
number	Number of the identification document, without spaces	Text 255	**Y**		
issue_date	Identification document issue date	DateTime	N		
expiry_date	Identification document expiry date	DateTime	N		
issued_by	Name of Authority issued the document	Text 255	N		
issue_country	Country where the document was issued	Enum "Country"	**Y**		
comments	Generic comments field	Type "comments_type" Text 8000	N		

Table 22: Details Type "t_person_identification"

4.12 Type "report_party_type"

"report_party_type" XML type is for XML elements which provide details of parties in suspicious activity reports. One of a PAE (a Person, an Account or an Entity) can be included per involved party.
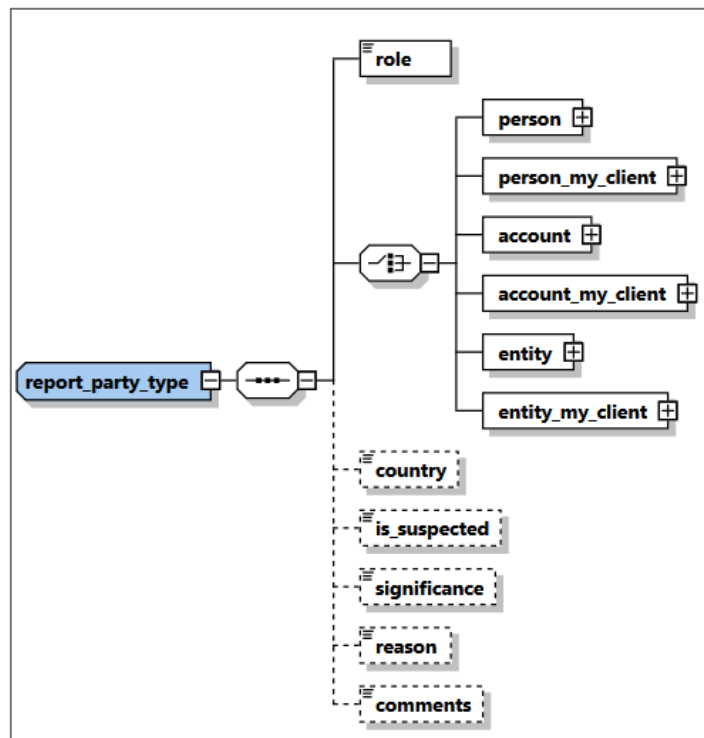

Figure 24: Overview Type "report_party_type"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
role	Role of participant	Enum "role_party_type"	Y	2.0.0	Content of classifier equals to "party_role_type" used in multi-party transactions
One of the elements should be provided					
person	Describes the involved party details - Persons	Type "t_person"			See 4.2. "t_person"
person_my_client	Describes the involved party details – Persons "My client"	Type "t_person_my_client"	Y (one of them)	2.0.0	See 4.1 "t_person_my_client"
account	Describes the involved party details – Accounts	Type "t_account"			See 4.6. "t_account"
account_my_clie	Describes the	Type		2.0.0	See 4.5.

nt	involved party details – Accounts "My client"	"t_account_my_client			"t_account_my_ client"
entity	Describes the involved party details – Entities	Type "t_entity"			See 4.4. "t_entitiy"
entity_my_client	Describes the involved party details – Entities "My client"	Type "t_entity_my _client"		2.0.0	See 4.3. "t_entitiy_my_cli ent"
country	Country	Enum "Country"	C	2.0.0	
is_suspected	Is participant suspected	Boolen	N	2.0.0	
significance	The PEA's role in the report (scale from 1 to 10). One PEA should have 10. *Not in use*	Integer	N		10
reason	Provide justification for reporting this party, if it adds important details to the justification of the report. *Not in use*	Type"commen ts_type" Teksts 8000	N		
comments	Other explanations for Activity	Text 8000	N		

Table 23: Details Type "report_party_type"

4.13 Type "transaction_additional_info_type"

"transaction_additional_info_type" XML type is a new data type (since v.2.0.0) and is intended for XML elements which provide details of virtual currencies and mobile device transactions.

"transaction_additional_info_type" (active starting with v. 2.0.0.) can be used to specify an IP address because, unlike "transaction_location", this block allows you to specify an IP address for particular party rather than for a transaction.
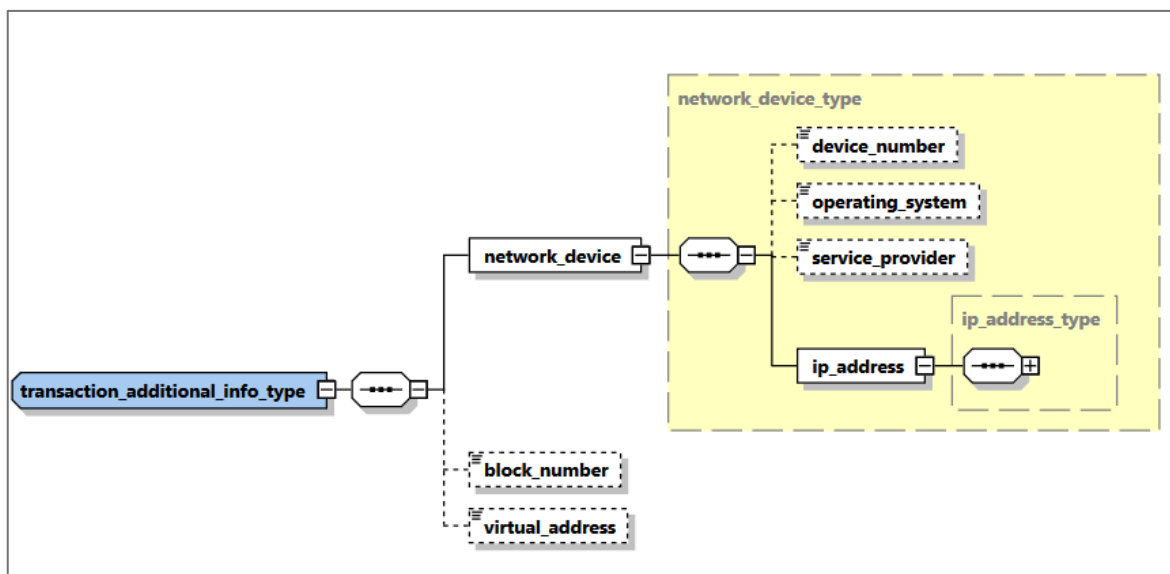
Figure 25: Overview Type "transaction_additional_info_type"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
network_device	Detailed information about each network device and/or IP address	Type "network_device_type"	**Y**	2.0.0	See. 4.15 "network_device_type"
block_number	Blockchain's block number	Decimal max 50	N	2.0.0	
virtual_address	Virtual address	Text 255	N	2.0.0	

Table 24: Details Type "transaction_additional_info_type"

4.14 Type "previous_name_type"

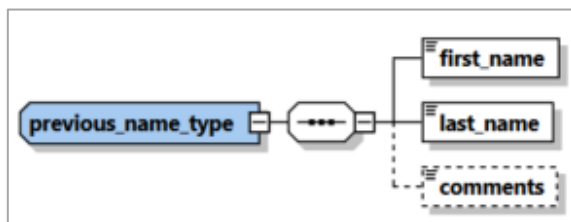"previous_name_type" XML type is for XML elements which provide details about previous names of person.

Figure 26: Overview Type "previous_name_type"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
first_name	Name	Text 100	**Y**	2.0.0	
last_name	Surname	Text 255	**Y**	2.0.0	
comments	Comments regarding the person's previous names	Text 255	N	2.0.0	

Table 25: Details Type "previous_name_type"

4.15 Type "network_device_type"

"network_device_type" XML type is for XML elements which provide details about network devices.
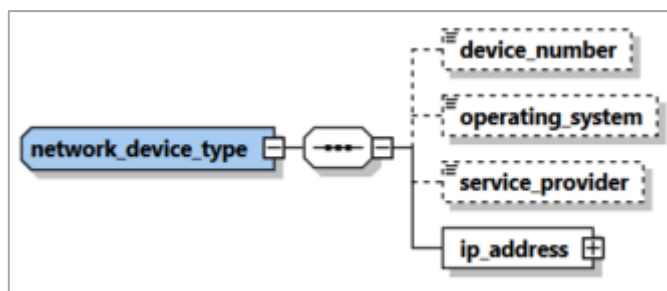

Figure 27: Overview Type "network_device_type"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
device_number	Device number	Text 50	N	2.0.0	
operating_system	Device operation system	Enumeration	N	2.0.0	
service_provider	Service provider	Text 255	N	2.0.0	
ip_address	A Holder element for IP address	Type "ip_address_type"	**Y**	2.0.0	See 4.31 "ip_address_type"

Table 26: Details Type "network_device_type"

4.16 Type "social_account_type"

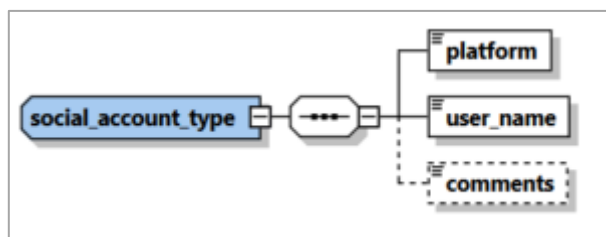"social_account_type" XML type is for XML elements which provide details about person's social media accounts.

Figure 28: Overview Type "social_account_type"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
platform	Social media platform	Text 255	**Y**	2.0.0	
user_name	Username on the social platform	Text 255	**Y**	2.0.0	
comments	Comments regarding social media	Type "comments_type" Text 8000	N	2.0.0	

Table 27: Details Type "social_account_type"

4.17 Type "employer_type" – Not in use

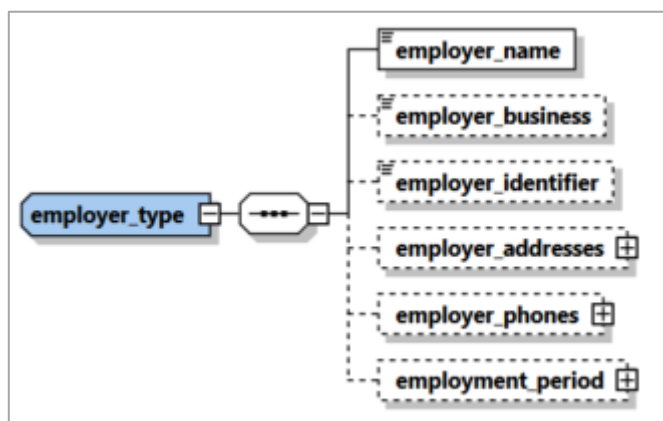"employer_type" XML type contains a set of elements about a person's employment.

Figure 29: Overview Type "employer_type"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
employer_name	Employer name	Text 255	**Y**	2.0.0	
employer_business	Employer business scope	Text 255	N	2.0.0	

employer_identifier	Employer identification	Text 255	N	2.0.0	
employer_addresses	A Holder element for employer addresses	Type "t_address"	N	2.0.0	See 4.8 "t_address"
employer_phones	A Holder element for employer phones	Type "t_phone"	N	2.0.0	See 4.9 "t_phone"
employment_period	A Holder element for period of working time at the employer (from – to)	Type "relation_date_range_type	N	2.0.0	See 4.21 "relation_date_range_type"

Table 28: Details Type "employer_type"

4.18 Type "pep_details"

"pep_details" XML type is to provide details about politically exposed person (PEP). For one person, this information block can be filled out multiple times, for example, the person has been an official in a state institution and is currently a member of the European Parliament (in this case, "pep_country" – the country the person represents).
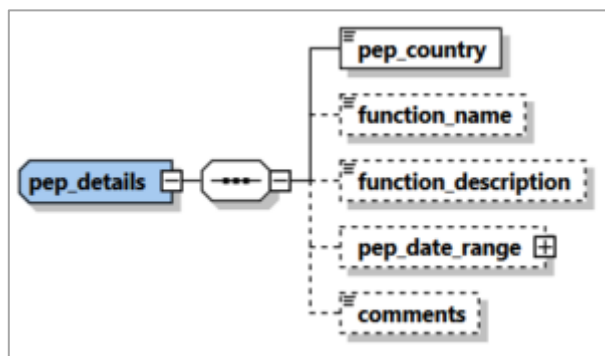

Figure 30: Overview Type "pep_details"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
pep_country	Country in which person is politically exposed	Type "country_type"	**Y**	2.0.0	
function_name	Position/function of a politically exposed person	Text 255	N	2.0.0	

function_description	Function description of a politically exposed person	Type "comments_type" Text 8000	N	2.0.0	
pep_date_range	Politically exposed person's date range	Type "relation_date_range_type"	**C**	2.0.0	See 4.21 "relation_date_range_type"
comments	Comment related to a politically exposed person	Type "comments_type"	N	2.0.0	

Table 29: Details Type "pep_details"

4.19 Type "sanction_list_type"

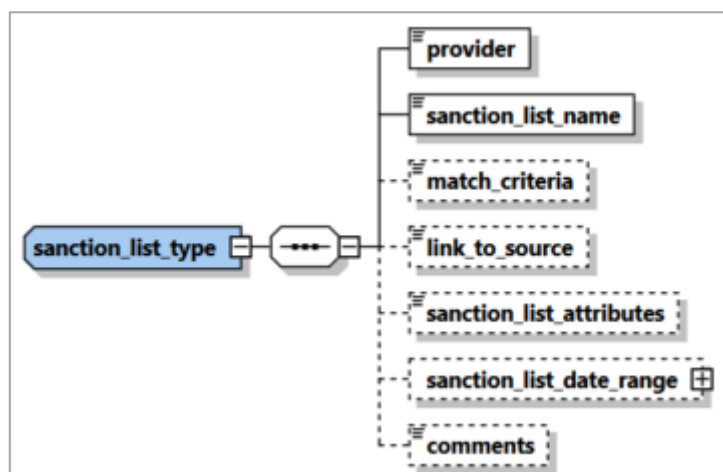"sanction_list_type" XML type is for XML elements to provide details about person's presence on sanctions lists.

Figure 31: Overview Type "sanction_list_type"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
provider	Sanction list provider	Text 255	**Y**	2.0.0	
sanction_list_name	Sanction list's name	Text 255	**Y**	2.0.0	
match_criteria	Criteria which are matched	Text 8000	N	2.0.0	
link_to_source	Link to information source	Text 255	N	2.0.0	
sanction_list_attributes	Attributes of sanction list	Type "comments_type" Text 8000	N	2.0.0	

sanction_list_date_range	Date range of sanction list	Type "relation_date_range_type"	N	2.0.0	See 4.21 "relation_date_range_type"
comments	Comments related sanction list	Type "comments_type" Text 8000	N	2.0.0	

Table 30: Details Type "sanction_list_type"

4.20 Type "person_related_person_type"

"person_related_person_type" XML type is for XML elements which provide details about the link of a natural person with another natural person.
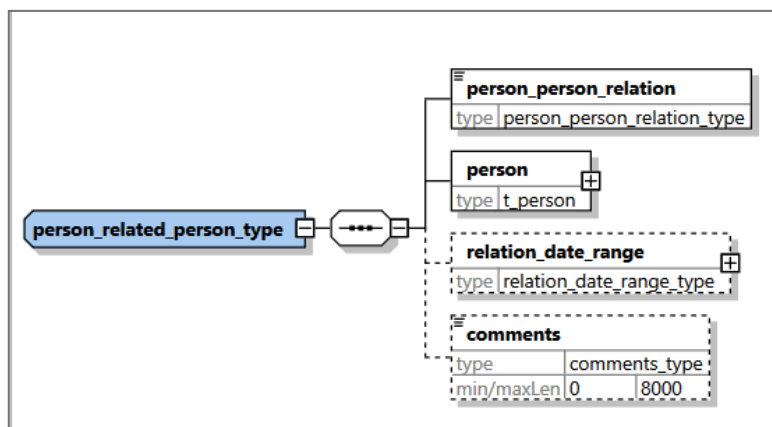

Figure 32: Overview Type "person_related_person_type"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
person_person_relation	Relation type between persons	Enumeration "person_person_relation_type"	N	2.0.0	
person	Description of the related person	Type "t_person"	**Y**	2.0.0	
relation_date_range	Relation date range (from-to)	Type "relation_date_range_type"	N	2.0.0	See 4.21 "relation_date_range_type"
comments	Comments about persons' relation	Type "comments_type" Text 8000	N	2.0.0	

Table 31: Details Type "person_related_person_type"

4.21 Type "relation_date_range_type"

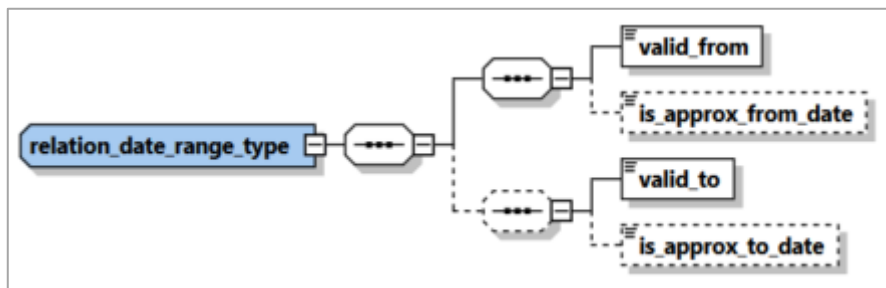"relation_date_range_type" XML type is for XML elements which provide details about the time period – From-To.

Figure 33: Overview Type "relation_date_range_type"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
valid_from	Valid 'From'	Datetime	**Y**	2.0.0	
is_approx_from_date	Is the 'From' date approximate?	Boolen	N	2.0.0	
valid_to	Valid 'To'	Datetime	**Y**	2.0.0	
is_approx_to_date	Is the 'To' date approximate?	Boolen	N	2.0.0	

Table 32: Details Type "relation_date_range_type"

4.22 Type "generic_additional_info_type" – Not in use

"generic_additional_info_type" XML type is for XML elements which allows to describe additional information that is not covered by other structured fields.
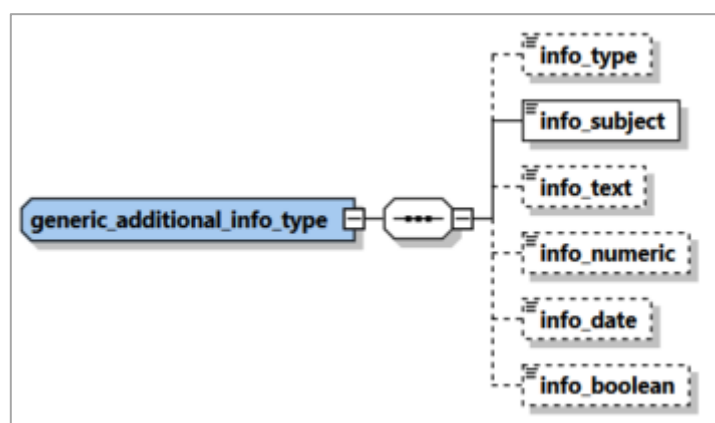

Figure 34: Overview Type "generic_additional_info_type"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
info_type	Additional information type	Enumeration "additional_info_type"	N	2.0.0	
info_subject	Subject of an additional information	Text 255	**Y**	2.0.0	
info_text	Additional information in a text type	Tips "comments_type"	N	2.0.0	
info_numeric	Additional information in a numeric type	Decimal	N	2.0.0	
info_date	Additional information in a date type	Datetime	N	2.0.0	
info_boolean	Additional information in a boolen type	Boolen	N	2.0.0	

Table 33: Details Type "generic_additional_info_type"

4.23 Type "entity_related_person_type"

"entity_related_person_type" XML type is for XML elements which provide details about the link of a natural person with entity.
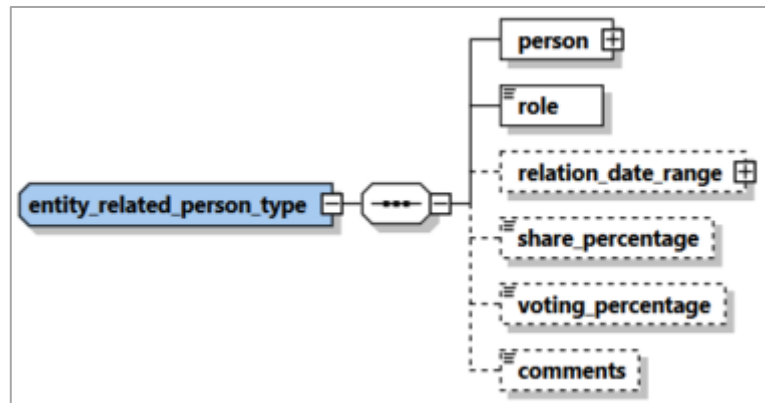

Figure 35: Overview Type "entity_related_person_type"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
person	Person (which is related to entity) details	Type "t_person"	**Y**	2.0.0	See 4.2 "t_person"
role	Person's role	Enumeration "entity_person_role_type"	**Y**	2.0.0	

relation_date_range	Relation date range - period of time	Type "relation_date_range_type"	N	2.0.0	See 4.21 "relation_date_range_type"
share_percentage	Person's share in percentage	Decimal (max 100)	N	2.0.0	
voting_percentage	Person's voting rights in percentage	Decimal (max 100)	N	2.0.0	
comments	Comments regarding person's and entity relation	Type "comments_type" Text 8000	N	2.0.0	

Table 34: Details Type "entity_related_person_type"

4.24 Type "entity_related_entity_type"

"entity_related_entity_type" XML type is for XML elements which provide details about the link of an entity with another entity.
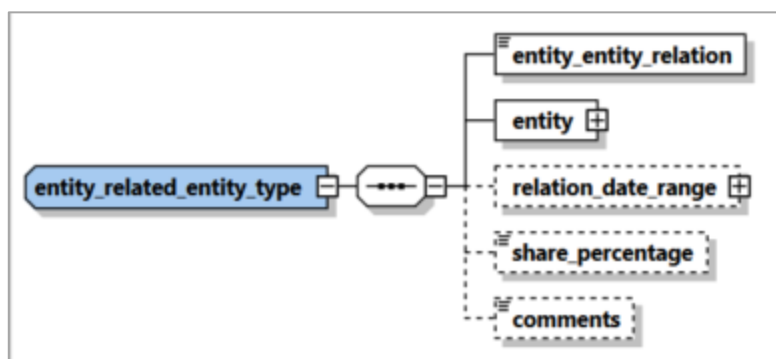

Figure 36: Overview Type "entity_related_entity_type"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
entity_entity_relation	Relation type between entities	Enumeration "entity_entity_relation_type"	**Y**	2.0.0	
entity	Description of the related entity	Type "t_ entity"	**Y**	2.0.0	See 4.4 "t_ entity"
relation_date_range	Relation date range (from-to)	Type "relation_date_range_type"	N	2.0.0	See 4.21 "relation_date_range_type"
share_percentage	Legal entity participation (influence) in percent	Decimal (max 100)	N	2.0.0	

comments	Comments about entities relation	Type "comments_type" Text 8000	N	2.0.0	

Table 35: Details Type "entity_related_entity_type"

4.25 Type "t_entity_identification"

"t_entity_identification" XML type is for XML elements to provide details about the entity additional registration numbers/identification to reflect, for example, obtained licenses, VAT number in foreign countries, etc.
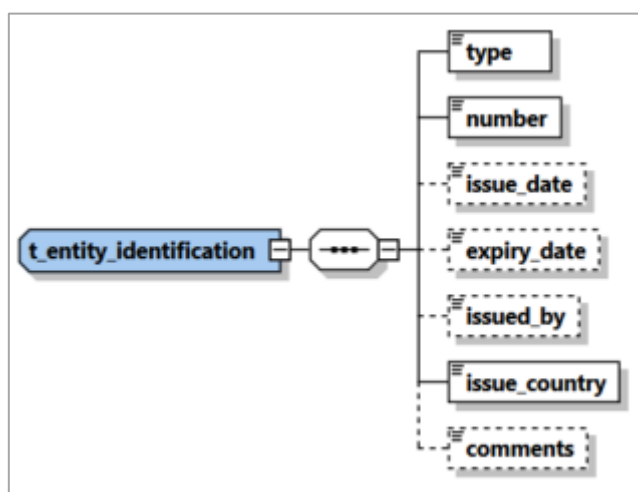

Figure 37: Overview Type "t_entity_identification"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
type	Identification type	Enumeration "entity_identifier_type"	**Y**	2.0.0	
number	Identification (registration) number	Text 255	**Y**	2.0.0	
issue_date	Date of issue of the identification document	DateTime	N	2.0.0	
expiry_date	Expiry date of the identification document	DateTime	N	2.0.0	
issued_by	Issuer of the identification document	Text 255	N	2.0.0	

issue_country	Issue country of the identification document	Enumeration "country_type"	Y	2.0.0	
comments	Comments related to identification	Type "comments_type" Text 8000	N	2.0.0	

Table 36: Details Type "t_entity_identification"

4.26 Type "account_fund_type"

"account_fund_type" XML type is for XML elements which contains a set of account funds elements to represent the account balance, indicating the balance in each of the currencies.
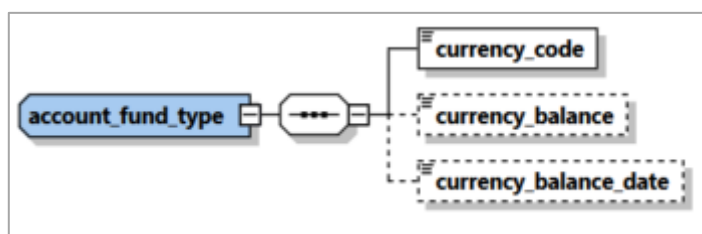

Figure 38: Overview Type "account_fund_type"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
currency_code	Currency code	Enumeration "currency_type"	Y	2.0.0	
currency_balance	Account balance	Decimal	N	2.0.0	
currency_balance_date	Balance date	DateTime	N	2.0.0	

Table 37: Details Type "account_fund_type"

4.27 Type "account_related_entity_type"

"account_related_entity_type" XML type contains a set of elements about account-entity relationship.
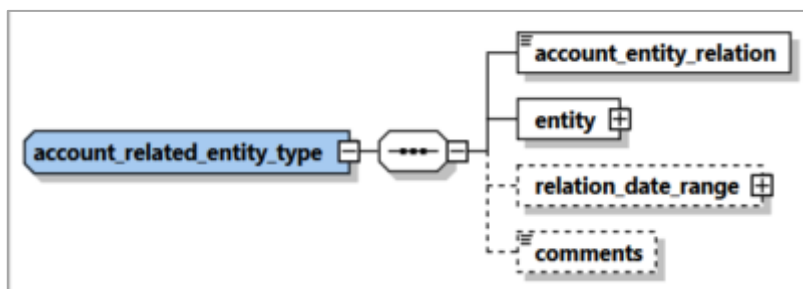
Figure 39: Overview Type "account_related_entity_type"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
account_entity_ relation	Account-entity relation type	Enumeration "account_entity_re lation_type"	**Y**	2.0.0	
entity	Details about entity	Type "t_ entity"	**Y**	2.0.0	See 4.4 "t_ entity"
relation_date_ range	Relation date range (from-to)	Type "relation_date_ran ge_type"	N	2.0.0	See 4.21 "relation_date _range_type"
comments	Comments about account-entity relation	Type "comments_type" Text 8000	N	2.0.0	

Table 38: Details Type "account_related_entity_type "

4.28 Type "account_related_person_my_client_type"

"account_related_person_my_client_type" XML type contains a set of elements about account and "my client" person relationships.

Starting with v 2.0.0, "account_related_person_my_client_type" replaces the data previously found under the "signatory" section, and this data type represents a natural person as the owner of the account and/ or as the authorized person (and other obligations according to the classifier "account_person_role_type").
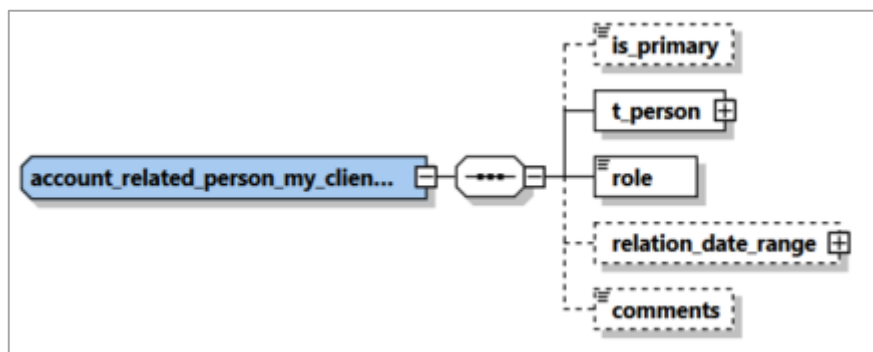

Figure 40: Overview Type "account_related_person_my_client_type"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
is_primary	Is person account holder?	Boolen	N	2.0.0	
t_person	Person description	Type "t_person_my_client"	**Y**	2.0.0	See 4.1 "t_person_my_client"
role	Person's role in relation to account	Enumeration "account_person_role_type"	**Y**	2.0.0	
relation_date_range	Relation date range (from-to)	Type "relation_date_range_type"	N	2.0.0	See 4.21 "relation_date_range_type"
comments	Comments about account and person-my client- relation	Type "comments_type" Teksts 8000	N	2.0.0	

Table 39: Details Type "account_related_person_my_client_type "

4.29 Type "account_related_person_type"

"account_related_person_type" XML type contains a set of elements about account and person relationships.

Starting with version 2.0.0, "account_related_person_type" replaces the data set previously under the "signatory" section, and this data type represents a natural person as an account owner and as an authorized person (and other obligations according to the "account_person_role_type" classifier).
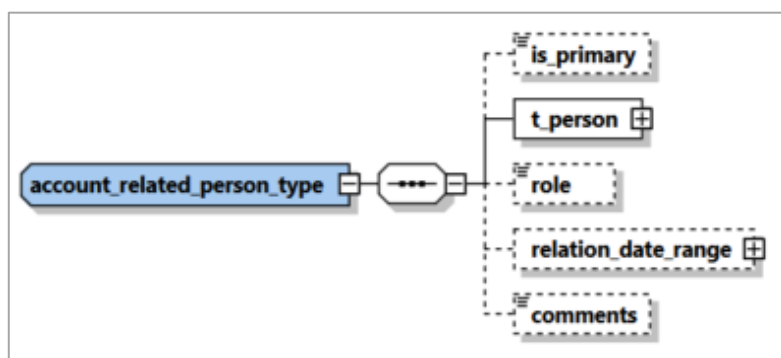

Figure 41: Overview Type "account_related_person_type"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
is_primary	Is person account holder?	Boolen	N	2.0.0	
t_person	Person description	Type "t_person"	**Y**	2.0.0	See 4.2 "t_ person"

role	Person's role in relation to account	Enumeration "account_person_role_type"	N	2.0.0	
relation_date_range	Relation date range (from-to)	Type"relation_date_range_type"	N	2.0.0	See 4.21 "relation_date_range_type"
comments	Comments about account and person relation	Type"comments_type" Teksts 8000	N	2.0.0	

Table 40: Details Type "account_related_person_type "

4.30 Type "account_related_account_type"

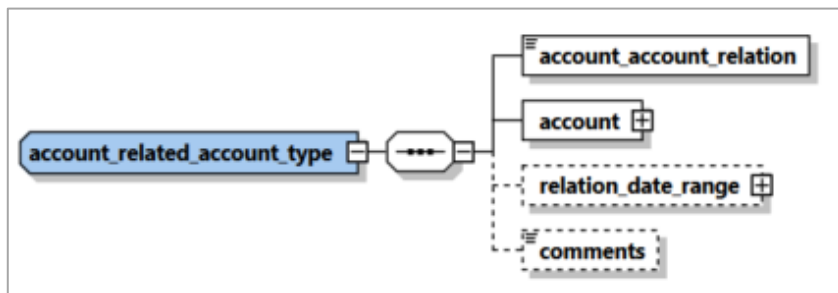"account_related_account_type" XML type contains a set of elements about account-to-account relationships.

Figure 42: Overview Type "account_related_account_type"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
account_account_relation	Account-account relation type	Enumeration "account_account_relation_type"	**Y**	2.0.0	
account	Account details	Type "t_account"	**Y**	2.0.0	See 4.6 "t_ account"
relation_date_range	Relation date range (from-to)	Type "relation_date_range_type"	N	2.0.0	See 4.21 "relation_date_range_type"
comments	Comments about account-account relation	Type "comments_type" Text 8000	N	2.0.0	

Table 41: Details Type "account_related_account_type "

4.31 Type "ip_address_type"

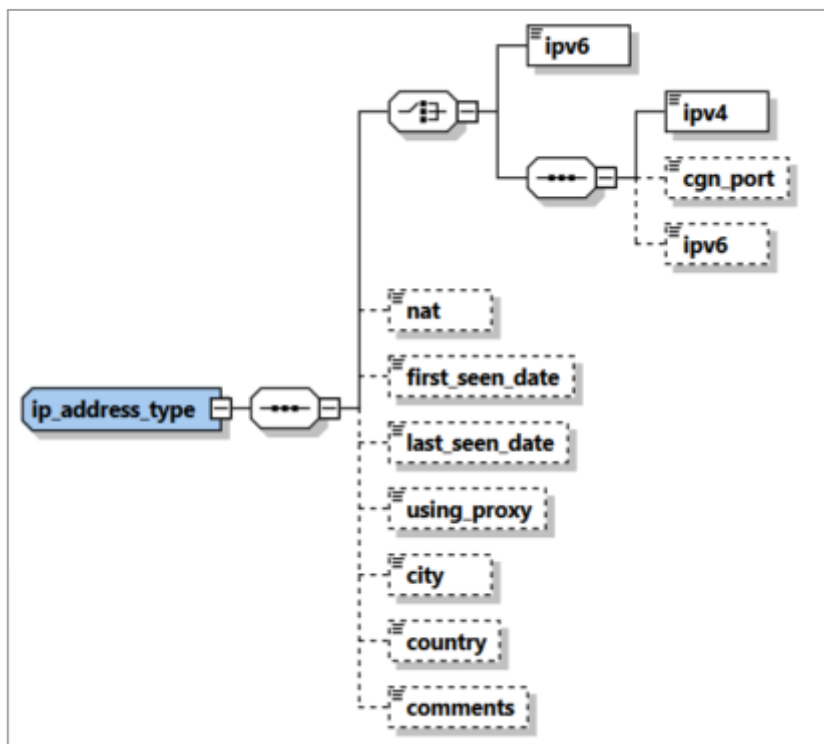"ip_address_type" XML type contains a set of elements about IP addresses.

Figure 43: Overview Type "ip_address_type"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
ipv6	Ipv6 protocol address	Type "ipv6_address_type"	**Y (one of two)**	2.0.0	
ipv4	Ipv4 protocol address	Type "ipv4_address_type"		2.0.0	
cgn_port	Carrier Grade Nat port	INTEGER	N	2.0.0	
ipv6	Ipv6 protocol address	Type "ipv6_address_type"	N	2.0.0	
nat	Network Address Translation	Text 15	N	2.0.0	
first_seen_date	First seen address - From	DateTime	N	2.0.0	
last_seen_date	Last seen address - To	DateTime	N	2.0.0	
using_proxy	Is proxy used	Boolen	N	2.0.0	
city	City	Text 255	N	2.0.0	
country	Country	Enumeration "country_type"	N	2.0.0	

| comments | Comments about IP address | Type "comments_type" Text 8000 | N | 2.0.0 | |

Table 42: Details Type "ip_address_type "

4.32 Type "t_person_registration_in_report"

"t_person_registration_in_report" XML type is for details of the person submitting the report (representative of RE). If you choose to include such details, please provide name, surname and telephone number.

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
Only surname / last name of the person should be given in the "last_name" field, and all other parts of the name should be given in the "first_name" field.					
first_name	All parts of the name, except the last name.	Text 100	**Y**		
last_name	Last name	Text 100	**Y**		
phones	A Holder element for "phone" elements		N		
phone	Element for providing phone details	Type "t_phone"	N		See 4.9. "t_phone"
email	Email address	Type "email_address"	N		
comments	Generic comments field	Type "comments_type" Text 8000	N		

Table 43: Details Type "t_person_registration_in_report"

4.33 Type "re_relationship_type"

"re_relationship_type" XML type includes a set of elements to reflect information about the legal entity/account/person included in the report as a client of the report submitter (the registration number assigned to the client and the period of cooperation).
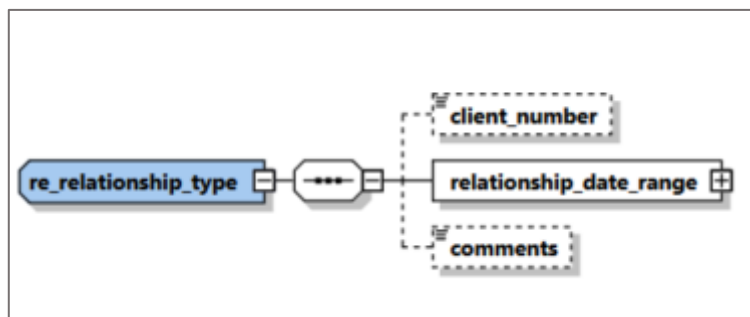
Figure 44: Overview Type "re_relationship_type"

NAME	DESCRIPTION	LENGTH/TYPE	MANDATORY	NEW FIELD (version)	EXAMPLE
client_number	Client number	Text 100	N	2.0.0	
relationship_data_ range	Relatinship data rande (from – to)	Type "relation_date_range_type"	**Y**	2.0.0	See 4.21 "relation_date _range_type"
comments	Comments about relationship with a client	Type "comments_type" Text 8000	N	2.0.0	

Table 44: Details Type "re_relationship_type"

5 Enumerations

Enumerations lists are used in certain XML elements to ensure data quality. If the data type of the XML element is "Enum", then one value from the relevant list of classifiers shall be specified in the respective element. The only exception is the classifiers in the "indicators" section, where it is possible to select several values.

The goAML XSD contains most of the enumeration values, which will make it easier for reporters to create XML files and check enumerations values.

The enumerations are published in a separate MS EXCEL file. Furthermore, the MS EXCEL file will be updated periodically, so REs should review and evaluate the current content of this file over time.